

## **Historic, Archive Document**

Do not assume content reflects current scientific knowledge, policies, or practices.



Reserve  
aGE300  
.H52  
1992

SUMMARY REPORT

**Environmental Sector Activities  
of  
PVO/NGOs**

**Michael J. Hickey**

**January, 1992**



**Forestry Support Program**



**United States  
Department of  
Agriculture  
Forest Service**



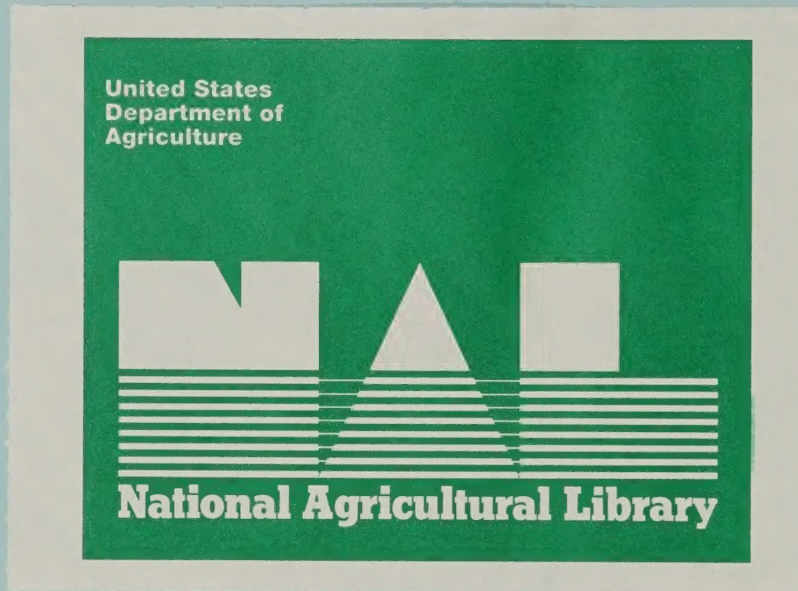
**United States  
Agency for  
International  
Development**



**United States  
Department of Agriculture  
Office of International  
Cooperation and  
Development**



**The Forestry Support Program (FSP) is managed jointly by the U.S. Department of Agriculture's Forest Service and Office of International Cooperation and Development (OICD), with funds provided by the U.S. Agency for International Development (USAID) Bureau for Research and Development (R&D) through its Office of Environment and Natural Resources (ENR).**



This paper has been commissioned by the USDA's Forestry Support Program (FSP), whose primary mission is to provide technical assistance to USAID Bureaus and Missions, and the U.S. Peace Corps. FSP's program activities are carried out through USDA's OICD in close cooperation with USAID. The views and opinions expressed in this paper are those of the author and do not necessarily represent the views or policies of USDA or USAID. Particular thanks are due to William Helin FSP's Food Aid and Voluntary Assistance Coordinator for his valuable support and guidance in developing this paper.

Michael J. Hickey, a graduate in Natural Resources/Business Management from Alaska Pacific University, Anchorage, Alaska, served as a Forest Management Specialist in Morocco with the Peace Corps (1988-1990) prior to working as a research assistant on the AID environmental database managed by International Computers and Telecommunications. He is currently working with Chemonics International Consulting on the Project in Development and the Environment (PRIDE).



## TABLE OF CONTENTS

	PAGE
TABLE OF CONTENTS	i
ACKNOWLEDGEMENTS	ii
GLOSSARY OF ABBREVIATIONS/ACRONYMS	iii
EXECUTIVE SUMMARY	iv
1.0 METHODOLOGY	1
1.1 Criteria for Inclusion	1
1.11 Environmental Projects	1
1.12 PVO/NGO Projects	2
1.13 Limitations	4
2.0 DATA SUMMARY AND INTERPRETATION	6
2.1 Analysis of Contracting Firms	6
2.11 Non-Profit vs. For-Profit Firms	7
2.12 Economic Sectors	7
2.13 Organization Types	9
2.2 Geographic Analysis	9
2.3 Effective Years vs. Fiscal Years of Contract Awards	14
APPENDICES	
A. USING THE PVO/NGO DATABASE	15
B. DESCRIPTION OF FIELDS IN THE DATABASE	18
C. DETAILED LISTS AND GRAPHS FROM THE PVO/NGO DATABASE	21
C.1.0 Projects from the ICT Database with PVO/NGO Components	22
C.2.0 Projects in the PVO/NGO Database	32
C.3.0 PVO/NGO Firms in the Database	35
C.4.0 Other Non-Profit Firms (Collaborators)	43
C.5.0 For-Profit Firms (Collaborators)	47
C.6.0 Regional Distribution of Contracts	54
D. CIMS FORM	75



## ACKNOWLEDGEMENTS

The author would like to thank all of the individuals and organizations who contributed to the success of this effort.

Timothy Stewart, formerly of International Computers and Telecommunications, was the primary source of assistance throughout the production of this report.

William Helin, Forestry Support Program Food Aid and Voluntary Assistance Coordinator, provided valuable support and guidance as well as Bruce Crossen and Jerome Turtola of USDA's Office of International Cooperation and Development who managed the contract under which this work was performed.

Very special thanks goes to Eden Stewart and Jean Emery who provided data entry services and Guy Brandenstein who established the initial database while at International Computers and Telecommunications (ICT) and provided technical assistance with the development of the database reports.







## GLOSSARY OF ABBREVIATIONS/ACRONYMS

AC/SI	- Activity Code/Special Interest Code
AID/W	- Washington, D.C. Office of U.S. Agency for International Development
AFR	- Africa Bureau of USAID
APRE	- Asia and Private Enterprise Bureau of USAID (beginning 1991)
CIMS	- Contract Information Management System
CTL	- Central Bureaus of USAID
ENE	- Europe and Near East Bureau of USAID
FENR	- Forestry, Environment and Natural Resources Office of USAID Science and Technology Bureau (formerly; now called the Environment and Natural Resources Office)
FSP	- Forestry Support Program of USDA Forest Service/International Forestry
FVA/PVC	- Bureau for Food for Peace and Voluntary Assistance/Office of Private and Voluntary Cooperation (formerly; now called Bureau for Food and Humanitarian Assistance)
ICT	- International Computers and Telecommunications, Inc.
LAC	- Latin America and Caribbean Bureau of USAID
OICD	- Office of International Cooperation and Development/USDA
NGO	- Non-Governmental Organization
PIO/T	- Project Implementation Order/Technical Services
PPC	- Policy and Program Coordination Bureau of USAID
PVO	- Private Voluntary Organization
S&T	- Science and Technology Bureau of USAID (formerly; now called the Research and Development Bureau)
USAID	- U.S. Agency for International Development
USDA	- U.S. Department of Agriculture





## EXECUTIVE SUMMARY

The U.S. Agency for International Development (USAID) supports environmental activities in developing countries as part of its greater development strategy. In order to carry out such activities, A.I.D. routinely awards contracts to independent organizations for implementation of specific projects. This document analyzes currently available information to assess the level of involvement of Private Voluntary Organizations (PVO) and Non-Governmental Organizations (NGO) in USAID's environmental program.

To do this analysis, a review of two of USAID's reporting systems was made: the Environmental Database prepared in 1991 by International Computers and Telecommunications (ICT) for USAID's Office of Forestry, Environment, and Natural Resources (FENR); and the Office of Procurement's Contract Information Management System (CIMS).

Using the ICT database to identify potential projects where PVO/NGO collaboration overlapped with environmental activities, a search was made of the CIMS database to indicate individual contracts for these projects. The information provided by these searches was then incorporated into the PVO/NGO Database, and forms the basis for this report.

This report describes the contracts awarded to PVO/NGOs engaged in environmental activities. Contracts with environmental components awarded to non-PVO/NGOs are also included to the extent that these organizations overlap with the PVO/NGOs on the projects for which information was requested.

A total of 83 projects were identified in the ICT database with both environmental activities and PVO/NGO collaboration. Of these, 47 actually showed up in the CIMS search. Of the 47, 26 were clearly in the environmental sector and within these 26 there were a total of 137 projects with \$37.1 million awarded. These 26 were entered into the PVO/NGO Database. Of the 26 projects and 137 contracts, there were 15 projects and 69 contracts that have both environmental activities and PVO/NGO participation. The total awarded on these 69 contracts was \$23.7 million in the years 1983 to 1991.

Contract activities reported from the CIMS search represent only a small fraction of the total environmental sector of USAID. By comparison, total obligations by USAID to the environment were \$411 million on 354 projects in 1990 alone, according to the ICT report. Of these projects, 173 had forestry components and obligations of \$108 million; 126 had biological diversity components and obligations of \$58 million.

On the basis of the available information, 54 firms were awarded environmental contracts. Of these, 23 are PVO/NGOs. Four PVO/NGO firms, CARE, Pan-American

Development Foundation, World Resources Institute, and World Wildlife Fund, accounted for half of the total amount awarded. The average contract award is \$173,000, while the largest individual award is \$1.9 million. The greatest award to a non-profit, government-affiliated firm is to the University of Rhode Island, with \$3.1 million. For-profit firms are not well represented because the search was focussed on PVO/NGOs. Energy Development International had the largest award of the for-profits (\$980,000).

The Africa Bureau (AFR) and the centrally funded projects accounted for 67% (\$25.3 million) of the total awards and 61% (\$14.5 million) of the PVO/NGO awards. Haiti had the greatest PVO/NGO funds awarded of any individual country, at \$4.2 million. The centrally funded Office of Private and Voluntary Cooperation (FVA/PVC) had \$4.1 million, and Africa Regional projects had \$4.8 million. The Latin America and Caribbean Bureau (LAC) accounted for 19% (\$4.4 million) of the PVO/NGO contracts; Asia and Private Enterprise (APRE) had 14% (\$3.4 million, includes Philippines contracts), Europe and Near East (ENE) 4% (\$0.9 million). The country of operations was not specified for 2% of the contracts (\$0.6 million)

The information resulting from this search has very strict limitations and cannot be considered an accurate description of PVO/NGO involvement in USAID's environmental program. For a number of reasons described in the report text, the data obtained from CIMS in all likelihood contains only a minimal portion of the environmental contracts awarded to PVO/NGOs. The ICT system is also limited by the use of the Activity Code/Special Interest Code (AC/SI) system of describing project activities. Because of these restrictions, this report can best be considered a first attempt to define PVO/NGO collaboration in the environment, with illustrative lists of the firms, the contracts, and the geographic distribution of these collaborative efforts. Better information will require a more thorough investigation of literature and personnel, both within USAID and the collaborating organizations.



## **1.0 METHODOLOGY**

### **1.1 Criteria for Inclusion**

#### **1.11 Environmental Projects**

All USAID projects are described by a combination of Activity Codes and Special Interest (AC/SI) Codes. The AC/SI codes have two functions: (1) to describe the qualitative nature of the project (i.e., forestry, agriculture, etc.); and (2) to provide an estimate of the quantitative amount of funding level for each component of the project. Activity Codes are comprehensive descriptors of all the project activities, while Special Interest Codes are used to describe special subject matter areas addressed within specific components. The AC/SI codes are used to track project activities and monetary obligations as accurately as possible. A.I.D. personnel are responsible for using these codes to represent specialized classes of activities on each project.

Forestry, biodiversity, natural resource management, etc. are all subsets of the larger umbrella of "environment." Hence, the definition of environment will be critical to determining the suitability of individual projects for inclusion in the database. For the purposes of this report, environmental projects are considered to be those which have any of the AC/SI codes that were determined by S&T/FENR and the Program and Policy Coordination (PPC) bureau of A.I.D. to be environmental in nature:

##### Activity Codes:

NRFR	- Forestry
NRHW	- Hazardous Waste
NRLD	- Agricultural Land Development
NRMP	- Environmental Management Planning and Policy
NRSL	- Soils
NRWQ	- Water Quality Improvement
NRWR	- Water Resources Management

##### Special Interest Codes:

CLZ	- Coastal Zones and Islands
WTL	- Wetlands
BDV	- Biodiversity
REF	- Reforestation
TRF	- Tropical Forestry
FOR	- Forestry (excluding reforestation and tropical forestry)

International Computers and Telecommunications of Rockville, MD recently completed a specialized database for USAID's Science and Technology Bureau, Office for Forestry, Environment, and Natural Resources (S&T/FENR). It was summarized in ICT's 1991 Summary Report of Environment Sector Activities, copies of which are on file at USDA's Forestry Support Program. A detailed description of how the AC/SI coding system works can be found in the Appendix of the ICT report. This database contains information on all of USAID's projects worldwide which have environmental components.

As of October, 1991, there were 354 active projects identified as having environmental components on the basis of AC/SI coding on the ICT database. This set of projects is considered by A.I.D. to be the most comprehensive and accurate accounting of environmental activities Agency-wide.

### **1.12 PVO/NGO Collaboration**

The purpose of this report is to examine the collaboration of Private Voluntary Organizations (PVOs) and Non-Governmental Organizations (NGOs) in the environmental sector. As there is no single source of readily-available information to determine such collaboration, it must be synthesized from existing data sources. The first step in doing this was to combine data from the ICT environmental projects database and the Contract Information Management System (CIMS) database of USAID's Office of Procurement. This database tracks all A.I.D. contracts. Theoretically, combining these two sources should provide the most complete data set: the AC/SI codes in the ICT database identifies projects which are both environmental and have PVO collaboration, and the CIMS database provides detailed information on contracts made to the individual PVO/NGOs.

Within the ICT database, three SI codes are used to identify projects that have PVO/NGO collaboration:

- PVU** - U.S.-based PVO/NGOs
- PVL** - local PVO/NGOs based in the country of operation
- PVO** - PVO/NGOs other than those listed by PVU or PVL.

### **Comparison to ICT Data:**

83 projects were identified from the ICT database that have at least one of the AC/SI codes which are environmental in nature and at least one of the above PVO/NGO codes (out of 354 total projects with environmental activities). A complete list of these projects is in Appendix C, Table C.1. The total environmental obligations for FY 1990 for these 83 projects were \$81.4 million (out of \$172.9 million total obligations). The total environmental obligation for all projects in FY 1990 was \$411 million.



The ICT final report also analyzed two important subsectors of the environment: forestry and biological diversity. For comparison purposes: 61 of these PVO/NGO projects also have forestry components (out of 173 forestry projects overall). Total forestry obligation in 1990 was \$108 million. 34 projects have both biological diversity and PVO/NGO components (out of 126 total). Biodiversity funding in 1990 was \$58 million. A detailed listing of these projects can be found in Appendix C, Table C.1. Table 1 shows the breakdown of these projects by Bureau.

In August 1991, S&T/FENR filed a formal request to the Office of Procurement for a search of the CIMS system to identify contracts with possible overlap of environmental and PVO/NGO components. This search was based on the 83 project numbers identified from the ICT database. As Procurement does not use AC/SI codes, the linking factor between the environmental database and the Procurement database was the project number. Thus, information was supplied through CIMS for each of the project numbers which have one of the PVO/NGO SI codes.

Table 1: Environmental and Forestry Projects with PVO/NGO Collaboration, by Bureau.

BUREAU	# OF ENVIRONMENTAL PROJS. WITH PVO/NGO COLLABORATION *	# OF FORESTRY PROJS. WITH PVO/NGO COLLABORATION *	# OF BIODIVERSITY PROJS. WITH PVO/NGO COLLABORATION *
Africa	28	19	9
Asia/Private Enterprise	12	10	5
Central	14	11	8
Europe/Near East	6	3	3
Latin America and Caribbean	23	18	9
Total	83	61	34

\* Based on the AC/SI codes of the ICT Environmental Database

The data provided by CIMS show all recorded contract activities for each of the project numbers requested. The description field was then used to determine whether or not a particular contract had an environmental component. If so, it was included in the PVO/NGO database and in this report.

### 1.13 Limitations

The data obtained from this search form the basis for the PVO/NGO Database. This represents the best information available at the time the report was made on this specific set of projects. It is by no means a complete list of A.I.D.'s environmental projects, nor of the contracts awarded to work on environmental activities. It can be considered a "snapshot" view of contracts awarded for collaborators to carry out work in the environmental sector under the somewhat narrow parameters described previously.

A serious limitation on this system is that it relies exclusively on the AC/SI system to identify projects of interest. Out of 354 projects identified with some sort of environmental activity on the ICT database, it is reasonable to assume that there are more than 83 environmental projects that have PVO/NGO collaboration. Out of these 83 project numbers requested, only 47 showed up in the CIMS report. Of these 47, 26 had contracts which were clearly environmental in nature based on their description fields.<sup>1</sup> It must be assumed, therefore, that for one reason or another they were not adequately identified to be included in the CIMS system. The most likely reason is simply that the AC/SI codes are often not well understood by the personnel responsible for coding the projects. Problems in applying and interpreting these codes are common throughout A.I.D. and it should be expected that similar problems have occurred in this exercise.

Equally important is the fact that the CIMS database is primarily an accounting system whereby contracts with A.I.D. are recorded. The project descriptions are often inadequate to determine whether or not there are environmental components. For this report the environmental portion was interpreted conservatively and only those contracts where the project description clearly defines activities in the environmental and natural resources management sectors have been included.

Notwithstanding these factors, it is logical to assume that out of 83 projects requested, there would be some sort of contracting activity on most, if not all of them. Why then did only 47 show up on the initial search? It is possible that some of the contracts are too recent to be recorded in the CIMS database. However, many of these projects were started in 1990 or earlier and should have some sort of contractual activity recorded. On the other hand, the Procurement database has recently been restructured. It is likely that some information did not transfer from the

---

<sup>1</sup> Of the 47 total projects with contracts recorded in CIMS, only these 26 projects remain in the PVO/NGO database and are listed in detail in Appendix C.2.0. Of the 26 projects with PVO codes, only 15 actually had contracts with awards to non-profit, non-government organizations.



old system to the new. This may explain some of the gaps in information, as well as the small number of project numbers reported with environmental activities (26) compared to the number requested (83). Therefore, it is clear that this combination of searches as stated in section 1.12 is not adequate even to identify areas of PVO/NGO collaboration, much less to describe specific activities for the Agency as a whole. A more comprehensive report will require an in-depth review of the Procurement system operations, as well as cross-checking with other sources of information on PVO/NGO activities within USAID.

Because the CIMS report includes all contract activity for the project, regardless of the firm's organization type and economic sector, a substantial part of the information obtained is for non-PVO/NGO firms. Thus this report includes data on both PVO/NGO firms and non-PVO/NGO firms. The determining criteria for project inclusion is whether or not it has both environmental and PVO AC/SI codes. The data on non-PVO/NGOs are included here as illustrative lists of the types and magnitudes of other organizations working in the environmental arena. A more nearly complete analysis of such collaboration would require that a search be made on CIMS of all projects with environmental AC/SI codes. Appendix C (C.3.0 to C.5.0) contains lists of contract activities broken down by the economic sector and organization type of the firm, for all firms.

The projects and collaborators identified through this exercise can only be considered a sample of the entire spectrum of PVO/NGO collaboration in the environment. It should be noted that there are 95 PVO firms registered with A.I.D. that are classified as conservation/environmental, according to the "Executive Contact List - U.S. and International Private & Voluntary Organizations Registered with A.I.D." (October 1991). Since only 23 PVO/NGOs showed up in this exercise, there are many PVO/NGOs that are not represented.

However, even with these limitations, it can provide valuable information, such as: (1) to identify key organizations and institutions involved in environmental projects, as well as the economic sector and financial makeup of the organizations (e.g. private sector, educational institutions, for-profit vs.non-profit, etc.); (2) size and scope of activities on the identified environmental project contracts; and (3) the geographic location of the contracts.

It also confirms that, if more information concerning these activities is desired, a more exhaustive search is necessary. However, considering the limitations discovered, it is questionable how accurate these results would be. Retrieval and manipulation of this information is useful in monitoring and evaluation of such activities. Therefore, it is suggested that A.I.D. explore ways to make such a search easier and more valid.

## 2.0 Data Summary and Interpretation

Out of the 26 projects on the CIMS database with environmental contracts, a total of 137 contracts clearly have an environmental component and are recorded in the PVO/NGO database. The total amount awarded to all of these contracts is \$37.1 million (for all firms) and \$23.7 million for PVO/NGOs. These contracts have been awarded over the period 1983 to 1991, with the effective dates of operation startups from 1985 to 1991.

This represents less than 10% of the total amount obligated to USAID environmental projects for this period. According to the 1991 ICT report to A.I.D., the total environmental obligation for 1990 alone was over \$400 million. Because of the limitations of both the CIMS and the ICT databases outlined previously, it is reasonable to assume that more than \$23 million was awarded to PVO/NGOs. Additionally, much of these environmental contracts were made to non-PVO/NGO firms that simply do not show up because of the limited scope of the original project search. Obviously, a great deal of the total environmental obligations, both for PVO/NGOs and non-PVO/NGOs, is simply unaccounted for by this search.

### 2.1 Analysis of Contracting Firms

54 different firms of all types were identified on CIMS as engaged in environmental contracts. Of these contractors, 32 are non-profit organizations. 91 contracts were made to non-profit firms (both non-governmental and government affiliated) and account for \$31.5 million (85%) of the total. Of this amount, \$23.7 million was made to PVO/NGOs on 69 contracts. 46 contracts worth \$5.7 million (15% of total) were made to 22 for-profit firms (see Figures 1 and 2). Tables C.3 to C.5 in Appendix C provide detailed lists of all the contractors identified in the CIMS search. Table 2 provides a summary of the collaborators in the database.

Table 2: Summary of PVO/NGO Database Collaborators.

FIRM TYPE	NUMBER OF FIRMS	NUMBER OF CONTRACTS	EARMARK AWARD
PVO/NGO	23	69	23,719,437
OTHER NON-PROFITS	9	22	7,754,896
FOR PROFIT	22	46	5,594,309
TOTALS	54	137	37,068,642



## 2.11 Non-Profit vs. For-Profit Firms

The PVO/NGOs are defined here as those firms that are both non-profit and are not government agencies. This can easily be determined from the `for_profit` field and the `econ_sectr` field of the CIMS database, respectively.<sup>2</sup> From Figure 1, it is seen that, of the \$31.5 million in contract obligations made to non-profit organizations, \$23.7 million (64% of the total awards) went to 23 non-profit, non-government organizations.

Four contracts actually have negative award amounts. These are adjustments and de-obligations made to previously awarded contracts. These account for only \$31,000 total, and are all made to for-profit firms.

Tables C.3 to C.5 provide complete information on each firm and each contract. The largest firms in terms of both numbers of contracts and total awards are the PVO/NGOs. CARE leads the field with 16 contracts worth \$3.6 million. The Pan American Development Foundation received almost as much (\$3.4 million) on only two contracts. Other PVO/NGOs with large awards are World Resources Institute (\$2.9 million from 11 contracts) and World Wildlife Fund (\$2.8 million from eight contracts). Public universities also had significant awards, although they are not considered PVO/NGOs. The University of Rhode Island had \$3.1 million in awards from seven contracts. The for-profit firms are only marginally represented in this database. Energy Development International has the greatest total awards, \$0.98 million for two contracts.

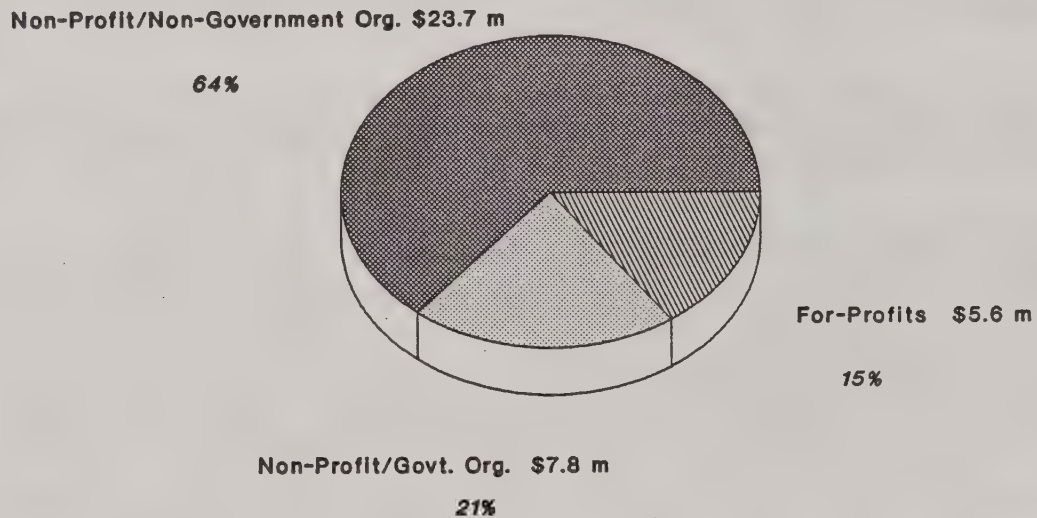
## 2.12 Economic Sectors

Economic sector refers to the nature of the firm's ownership and financial makeup, e.g. whether public or private. As seen in Figure 2, The vast majority (78%) of the firms working on the environmental contracts are in the private sector. These include both for-profit and non-profit private firms and individuals, U.S. and overseas-based. 16% of the total contract awards are in state and local (U.S.) government organizations. These are all colleges and universities. The U.S. federal government accounts for 5% of the total of all awards, with contracts through U.S. Department of Agriculture, Office of International Cooperation and Development (OICD/USDA), the Environmental Protection Agency, and Peace Corps. Only one firm is in the International Public Sector (the International Agriculture Development Services), with 1% of the total amount to environmental contracts. Tables C.3 to C.5 in Appendix C give a complete breakdown of firms by economic sector.

---

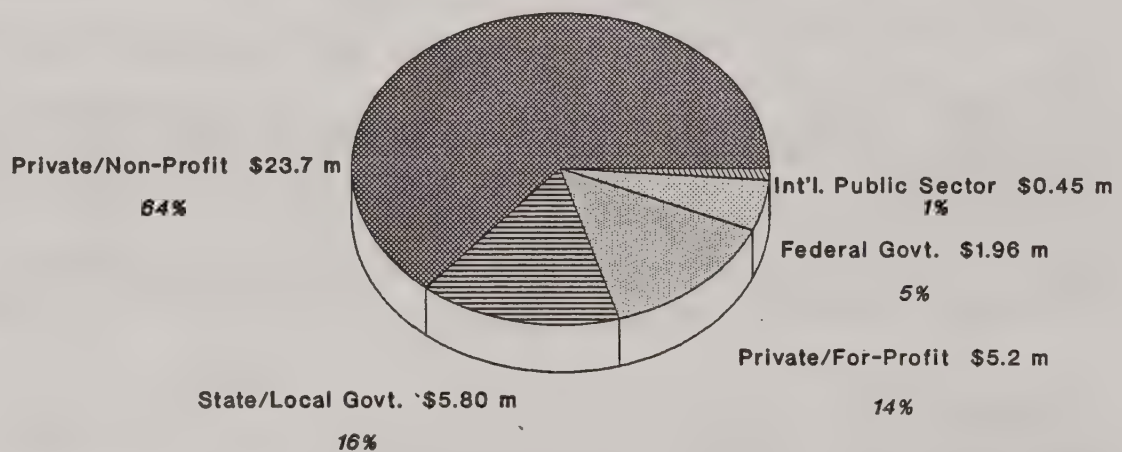
<sup>2</sup> These field names refer to the fields in the PVO/NGO Database, which correspond to data fields from the CIMS forms used in coding information to be entered into the system. A complete copy of the CIMS form is in Appendix D, with descriptions of the fields in Appendix B.

**Figure 1: Non-Profit vs. For-Profit Firms  
with Environmental Contracts (All Firms).**



Amounts Awarded in \$ Millions

**Figure 2: Economic Sectors of Environmental Contractors  
(All Firms).**



Amounts Awarded in \$ millions



## 2.13 Organization Types

Figures 3 and 4 show contractors by their business organization types. Figure 3 represents all firms in the PVO/NGO Database, while Figure 4 contains only the PVO/NGO firms. These organization type categories conform to those defined by the CIMS form (see Appendix D for codings). Volunteer organizations are the largest single organization type, with 36% of the total environmental contract awards. Note that "volunteer organizations" overlaps substantially with, and can be considered a subset of, "non-profit organizations" if it is assumed that volunteer organizations are not in business to make a profit. However, non-profit organizations will also include elements from the universities, research organizations, foundations, individuals, and other business organizations. Corporations and universities/colleges are the next largest contractors, followed by research organizations and foundations. Historically black colleges and universities (HBCU) and individuals account for a total of 4% of the environmental contract awards. All other business organizations account for 9% of the total. More detailed information on the organization types of individual firms is found in the lists in Appendix C.

## 2.2 Geographic Analysis

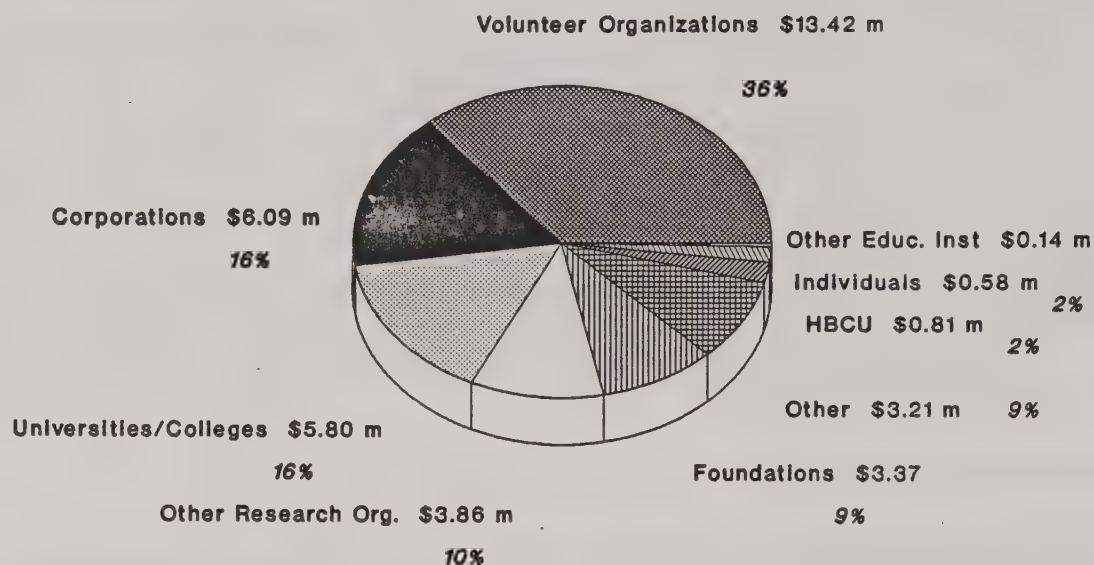
The environmental contracts are being implemented in 32 geographical units (e.g., countries or groups of countries) and/or administrative units (the centrally funded offices of A.I.D.). This is recorded in the **country\_op** (country of operation) field in the database. This determination is made on a contract-by-contract basis. The result is that several project numbers in CIMS have contracts operating in more than one country even though, in the ICT database, each project is classified as operating in only one country.

The four geographical regions are: Africa, Asia, Latin America and the Caribbean, and the Near East.<sup>3</sup> Projects (and contracts) that are funded and implemented through the central bureaus and offices of USAID/Washington are grouped together under Central funding. The Central category also includes contracts that are being implemented in a number of countries in different regions simultaneously. On three contracts worth \$740,000 (2% of the total awards), the country of operation is not identified.

---

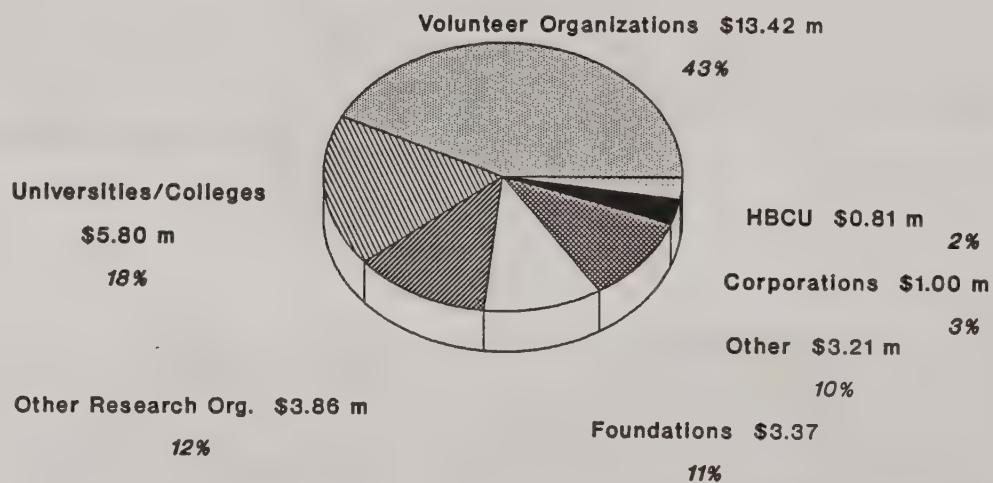
<sup>3</sup> These groupings correspond to the four regional bureaus of A.I.D. in use in 1991 (Africa, Asia and Private Enterprise, Latin America and the Caribbean, and Europe and the Near East), with the centrally funded bureaus comprising the fifth division. One exception is that projects in the Philippines are in the Asia region for this report, while this country was put into the Europe and Near East Bureau during 1991.

**Figure 3: Business Organization Types  
for Environmental Contractors: All Firms.**



Amounts Awarded in \$ millions

**Figure 4: Business Organization Types  
for Environmental Contractors: PVO/NGOs.**



Amounts Awarded in \$ millions



A summary of regional environmental contract awards is in Figures 5 and 6. Figure 5 shows the awards for all firms in the database, while Figure 6 is restricted to PVO/NGOs. A more detailed analysis of the regions and countries of operation is presented in Table C.6 and Figures C.1 to C.3 in Appendix C.

Contracts through the Central offices account for 36% of all of the environmental contract awards (for all types of firms). Africa has 31% of the total, LAC 15%, Asia 11%. The Near East countries of Pakistan and Afghanistan account for 3% of the total.

When only the PVO/NGO firms are considered, Africa accounts for 33% of the total, Central Bureaus 28%, LAC 19%, Asia 14%, and Near East 4%. Unknowns are 2% of the total.

### Countries Analysis

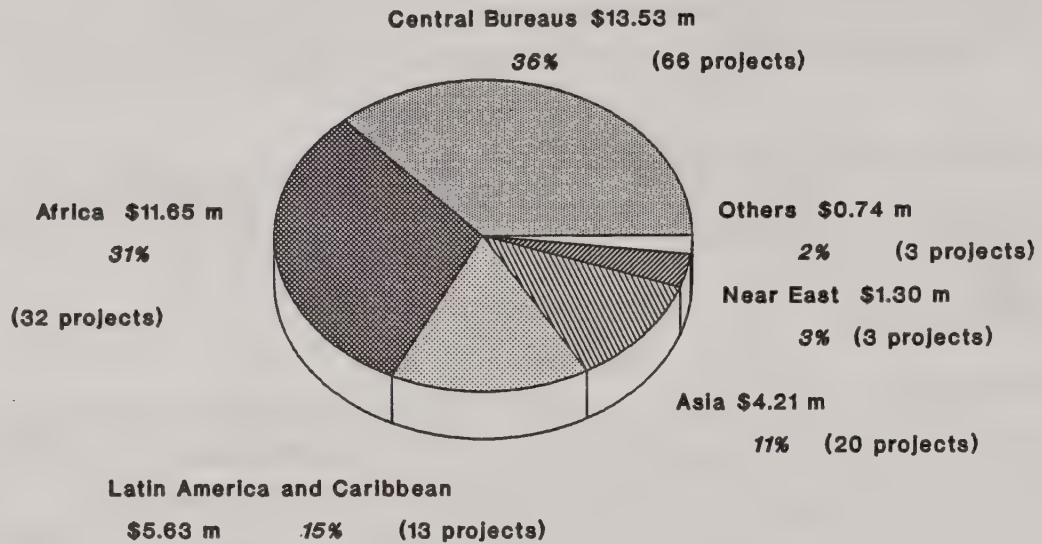
Table C.6 and Figures C.1 to C.3 give a detailed breakdown of total contract awards by geographic distribution, for all firms and for PVO/NGOs only. Haiti is the single largest country for PVO/NGO environmental awards, with \$4.2 million. However, this comes from only three relatively large contracts. The Food and Voluntary Assistance Bureau, by contrast, has 17 contracts worth \$4.13 million made to six different PVO/NGOs. Africa Regional awards are worth \$4.8 million, out of seven contracts to four PVO/NGOs. The average contract award is \$173,000, with a range from \$ 0 (where funds were earmarked, but never awarded) to \$1,985,500, made to the Pan American Development Foundation in Haiti.

The average number of PVO/NGO contracts awarded per country (or administrative unit) is a little over four. Food and Voluntary Assistance again leads in number of contracts, with 17. Eight countries/units have only one contract awarded; of these, two (Afghanistan and S&T/Energy) are made to PVO/NGOs.

### FY 90 Obligations Comparison

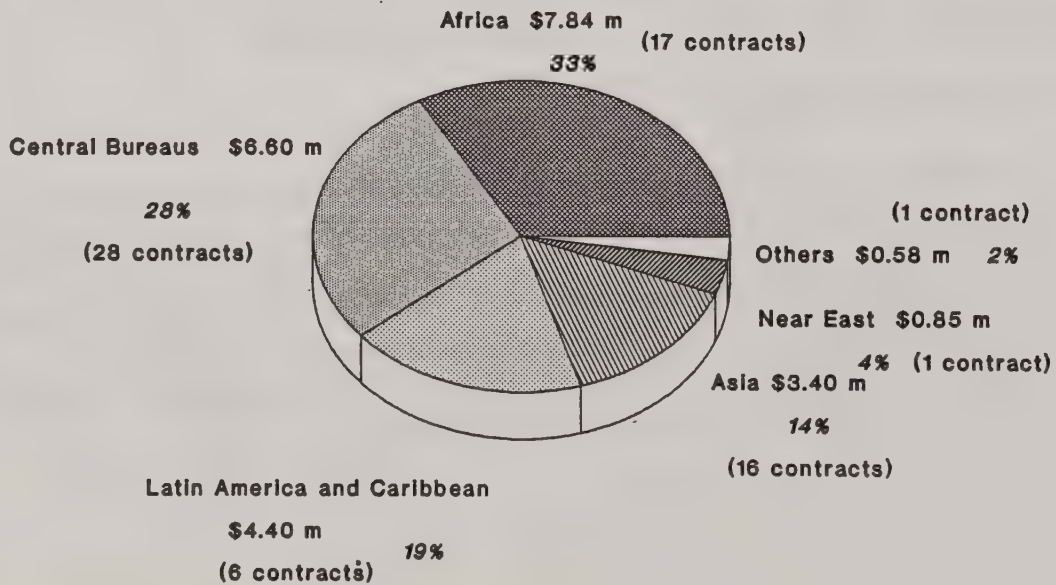
Table 3 is an attempt to compare funding levels by Bureau for a single fiscal year, 1990. Fiscal year 1990 is used as the basis of comparison because that is the most reliably updated year in the CIMS database. Column 2 lists the total environmental obligations for fiscal year 1990, as reported in the 1991 ICT Summary Report, while the third and fourth columns show the total environmental obligations to contracts made in 1990 in the PVO/NGO Database, and the totals for PVO/NGO firms implementing environmental contracts, respectively.

**Figure 5: Regional Distribution of Environmental Contracts:  
All Contracting Firms (Non-Profit and For-Profit).**



Amounts Awarded in \$ Millions

**Figure 6: Regional Distribution of Environmental Contracts:  
PVO/NGOs Only.**



Amounts Awarded in \$ Millions



From Table 3, it is clear that the totals reported in the PVO/NGO Database are far short of the total environmental obligations derived from the AC/SI coding system. One extremely limiting factor in the above comparison is that the totals from the PVO/NGO Database reflect only the amounts awarded to contracts in FY 1990 for multi-year contracts, while the ICT database uses single-year fiscal obligations. This ignores all the other contracts made to the project in other fiscal years that are in the database.

Of course, the PVO/NGO Database does not examine all environmental contracts in CIMS, only those with a PVO/NGO component. As seen in Table 3, environmental contracts awarded to PVO/NGOs in 1990 account for only \$10 million of the \$411 million obligated for environmental activities in 1990. This further illustrates the profound limitations of using this system. At the same time, it also serves a useful function in indicating which geographical regions may have significant PVO/NGO collaboration. Clearly, there is relatively more PVO/NGO activity in the LAC Bureau and the Central offices on the basis of the PVO/NGO Database.

Table 3: Comparison of Fiscal Year 1990 Obligations to Environmental Projects, by Bureau.

BUREAU	TOTAL 1990 ENV. OBLIGATION (FROM ICT REPORT) (\$'000)	TOTAL 1990 ENV. OBLIGATION (FROM PVO/NGO DATABASE) (\$'000)	TOTAL PVO/NGO ENVIRON. CONTRACTS (FROM PVO/NGO DATABASE) (\$'000)
NEAR EAST	234900	850	850
LATIN AMERICA & CARIBBEAN	45300	4598	4397
AFRICA	49900	1761	810
ASIA	44400	1423	1225
CENTRAL	36800	3396	2856
TOTAL	411300	12028	10138

## 2.3 Effective Years vs. Fiscal Years of Contract Awards

Contract information in this system has been updated only through early 1991, so 1990 is used as the base year for this report. Information is provided for both the fiscal year (FY) in which the contract money was obligated and the effective date on which project activities are scheduled to begin. Since FY does not necessarily correspond to the start-up year, there is not much correlation between the two dates.

Additionally, the ear\_awd refers to the total contract obligation throughout the project life. This differs from the method in which project obligations are reported. For example, in the ICT report, these figures are fiscal year obligations for the one particular year they are obligated, regardless of when the amount is spent. The total obligation for the life of the project is then the sum of all the fiscal year obligations. Thus, no direct comparison can be made between FY contractual awards and FY project obligations from Congress.

However, Table C.1 in Appendix C gives a more detailed breakdown of project obligations. It compares the Life of Project obligations from the ICT database to the total PVO/NGO contractual obligations in the PVO/NGO Database. Since both of these variables are based on awards or obligations made over the life of the project, this is a more direct comparison. As has been seen throughout this report, the total PVO/NGO awards for any given project are only a small percentage of the overall environmental obligations.



# APPENDIX A

USING THE PVO/NGO DATABASE

## INTRODUCTION TO THE PVO/NGO DATABASE

The PVO/NGO database contains data provided by the USAID Office of Procurement. In August, 1991 USAID's S&T/FENR office requested a listing of environmental projects which may involve collaboration by PVO/NGOs. The AID system of Activity Codes/Special Interest Codes (AC/SI) provided the determining criteria for producing this list. A detailed description of how this coding system works may be found in the 1991 Summary Report produced by International Computers and Telecommunications (ICT) for a now lapsed contract for S&T/FENR. This listing contains projects previously identified by ICT as "core" projects having at least one of the AC/SI codes which are environmental in nature and at least one of the following PVO codes:

**PVU** - U.S.-based PVO/NGOs

**PVL** - local PVO/NGOs based in the country of operation

**PVO** - PVO/NGOs other than those listed by PVU or PVL.

This listing was delivered to ICT in the form of a "hard copy." A copy of this information was not available in a computer media therefore requiring this information to be entered manually for this project.

### **How data were entered:**

The database consists of 29 fields (see attached copy for field names), each of which corresponds to a field from the Procurement Office Contract Information Management Systems (CIMS) form. Each record represents one contract (or amendment to an existing contract). Theoretically, each record should have information in each of these fields. In reality, however, information is missing from many fields. Therefore, the information entered into these fields is only as current and as accurate as what was provided by the Procurement Office.

The first part of this database set up was merely to transfer data from the hard copy provided by Procurement to a DBase III + database management system. Even though all of these projects were identified as having some environmental component and PVO collaboration using the AC/SI codes, there are many contracts which are neither environmental in scope nor performed by non-profit organizations. Whether or not the contractor is non-profit is simple to ascertain from the FOR\_PROFIT field. Determining whether or not the activities performed are environmental is more subjective. The project description (DESC memo field) is the best way to make a judgment of these activities. A "Y" in the ENV field shows that the project appears to be environmental, while an "N" shows it is not environmental. A "?" indicates that the project description is too vague to judge whether or not the project is environmental or not but that there may be some environmental activity.

Funding amounts are located in the EAR\_AMT, EAR\_AWD, EAR\_UNAWD, AIDFUND,



and the NONFED fields. The EAR\_AWD is the amount of money actually awarded, and thus will be of the most interest for this project.

#### How data can be retrieved:

Users of this database must have an IBM compatible computer with the popular DBase III+ software program. To install the programs and database files on your hard disk you must follow these steps:

- (1) Insert the diskette and make it active (simply type **A:** and press the Enter key - or **B:** depending on what drive you insert the disk into.
- (2) Type **INSTALL**. This will install the system and database files onto your hard disk.

To view, manipulate or print any part of the database you use the established reports printout program or access the database directly through DBASE III Plus. To use the reports printout program simply enter PVO at the C:. A menu will appear that will allow you to printout a Firms Report that lists the firms based on whether or not they are Non-Profit. You will also have the opportunity to printout the information by Region. The final option is to printout all information about all projects.

If you would prefer to use DBASE III Plus to manipulate the data in a specific manner than you would need to follow these instructions:

(1) at C:\, type **CD\DBASE\PVO**

(2) type **DBASE** to enter the dBase III Plus program

(3) the DBase logo will appear, followed by a dot prompt (.). At the dot prompt type **USE PVONGO**, this allows the user to view, edit, and otherwise manipulate the data. By typing **BROWSE** at the dot prompt, one can scroll through all of the fields for all of the records (except the memo fields). By typing **EDIT** at the dot prompt, the fields will be displayed in a full-screen format for easier viewing and editing. The memo field for the project description can be accessed from this mode by simultaneously pressing the **Ctrl** and **Home** keys. To return to the main screen, press **Ctrl** and **End**. Each record contains information on one contract action.

# **APPENDIX B**

## **DESCRIPTION OF FIELDS IN THE DATABASE**



## DESCRIPTION OF FIELDS IN THE PVO/NGO DATABASE

(1) **PROJNO** : Project Number. This corresponds to the seven-to-nine digit codes used throughout USAID. The first three digits are country/region codes, the next four specify the project, and the last two digits (if any) indicate whether or not it is a subproject. For the purposes of this exercise, it is assumed that the project numbers in this field correspond directly to the project numbers in the ICT Main project Database, i.e., that the contract is carried out on the project indicated in this field. For the most part, this is true, but it is not always necessarily so. There may be instances when contracts are made for a specific project and later moneys are allocated under the same project funding, but to a different project in implementation. In these cases, the project description is the best indication of whether or not it is environmental in nature.

(2) **AWARDNO** : Award Number. Indicates the specific award activity as recorded by the Office of Procurement.

(3) **PIO\_TNO** : PIO/T Number (Project Implementation Order/Technical Services).

(4) **FIRM** : Implementing Firm.

(5) **ADDRESS1** : Address of Implementing Firm.

(6) **ADDRESS2** : Address of Implementing Firm.

(7) **CITY** : Implementing Firm.

(8) **STATE** : If U.S.-based implementing firm.

(9) **ZIP** : If U.S.-based implementing firm.

(10) **COUNTRY** : Home country of implementing firm.

(11) **COUNTRY\_OP** : Country of contract operations. This is generally, but not always, the country where the USAID mission is located. An exception to this is Afghanistan, where the base is in Pakistan.

(12) **REGION** : Region/Bureau of operation.

(13) **DESC** : This is a memo field containing a brief description of the contract activities, as provided on the Office of Procurement printout.

(14) **INST** : No information is in this field.

- (15) **OFF\_TITLE** : The title of the office within the Mission/Bureau overseeing the contract implementation.
- (16) **PROJ\_OFF** : The A.I.D. officer supervising the project.
- (17) **FY** : Fiscal Year in which the contract is awarded.
- (18) **EFF\_DATE** : Effective Date on which contract activities are to begin.
- (19) **COMP\_DATE** : Date by which contract activities are to be completed. Note that the effective dates and completion dates do not always reflect actual dates when the contract is carried out, due to unforeseen changes taking place between the planning and the actual implementation.
- (20) **ORG\_TYPE** : Organization type. This indicates what type of business the contracting firm is engaged in (e.g., corporation, university, etc.). A list of the codes used here can be found on page 4 of the CIMS form.
- (21) **ECON\_SECTR** : Economic sector of the contracting firm (private, government, etc.). See the CIMS form for codes.
- (22) **US\_ORIG** : Indicates whether the contracting firm is U.S.-owned or not.
- (23) **FOR\_PROFIT** : Indicates whether or not the firm is non-profit.
- (24) **EAR\_AMT** : Amount of money earmarked for the contract.
- (25) **EAR\_AWD** : Amount of money from the earmark that is actually awarded to the contract. It is this figure which is used in calculating amounts spent on environmental contracts.
- (26) **EAR\_UNAWD** : Amount of money from the earmark that is not awarded to the contracting firm. Theoretically, this amount is the difference between the earmark amount and the earmark award.
- (27) **AIDFUND** : Amount of A.I.D. funds obligated for this particular contract.
- (28) **NONFED** : Nonfederal funds contributed from other sources. These figures are not used in calculating environmental contract amounts.
- (29) **FUNDTYPE** : Indicates the currency type of the award. All of the projects in this report are funded in U.S. dollars.
- (30) **ENV** : This field is used to reflect whether or not the project activities are environmental in nature. This is a somewhat subjective decision based on the orientation of the project as a whole and the description given in the DESC field.

# **APPENDIX C**

## **DETAILED LISTS AND GRAPHS FROM THE PVO/NGO DATABASE**

- C.1.0 Projects from the ICT Database with PVO/NGO Components**
- C.2.0 Projects in the PVO/NGO Database**
- C.3.0 PVO/NGO Firms in the Database**
- C.4.0 Other Non-Profit Firms (Collaborators)**
- C.5.0 For-Profit Firms (Collaborators)**
- C.6.0 Regional Distribution of Contracts**



## APPENDIX C.1.0

**Projects from the ICT Database with PVO/NGO Components**

### C.1.0 Projects from the ICT Database with PVO/NGO Components

These are the 83 projects which were originally requested by S&T/FENR for a CIMS contracts search. These projects have both environmental AC/SI codes and at least one of the PVO codes (PVO, PVU, PVL). The following table is based on the information available in the ICT database. As described in the ICT report, each project is classified by the AC/SI codes for total environmental component (ENV %), forestry (FOR %), and biological diversity (BDV %) activities. The percentages of the obligated funds in each project to each of these sectors are shown here.

Table C.1 : Projects Requested for the CIMS Search.

PROJECT NUMBER	TITLE	COUNTRY	BUREAU	ENV %	FOR %	BDV %
233-0001	Relief and Rehab Activities	E Eur Reg	ENE	50	0	0
263-0220	PVO Devt. Program	Egypt	ENE	5	5	2
306-0211	PVO Support Project	Afghan.	ENE	10	0	0
367-0155	Rapti Development Project	Nepal	APR	30	20	0
367-0159	PVO Co-Financing II	Nepal	APR	50	20	0
383-0109	Natural Resources and Environmental Policy	Sri Lanka	APR	100	0	30
386-0522	Democr. Approaches to Resource Efficiency	India	APR	50	10	20
391-0481	Forestry Planning and Development	Pakistan	ENE	90	65	3

PROJECT NUMBER	TITLE	COUNTRY	BUREAU	ENV %	FOR %	BDV %
438-0002	Training for Market- Based Economy	Mongolia	APR	16	0	16
492-0419	PVO Co- Financing III	Philippines	ENE	5	5	5
492-0465	Urban and Industrial Environment al Mgt.	Philippines	ENE	80	0	0
493-0342	PVO Co- Financing II	Thailand	APR	17	17	0
497-0336	PVO Co- Financing II	Indonesia	APR	8	3	3
497-0364	Strengthenin g Institutional Development	Indonesia	APR	20	6	0
499-0004	Regional Environmenta l Activities	Asia Regional	APR	100	30	20
515-0255	Forest Cons. & Mgmt. of the OSA Peninsula	Costa Rica	LAC	100	40	50
517-0050	Special Development Activities	Dominican Republic	LAC	35	0	0
517-0247	PVO Co- Financing	Dominican Republic	LAC	85	85	0
518-0092	Sustainable Land-Use Management	Ecuador	LAC	100	100	0



PROJECT NUMBER	TITLE	COUNTRY	BUREAU	ENV %	FOR %	BDV %
519-0364	Community Based Integ Rural Dev.	El Salvador	LAC	20	0	0
519-0385	Env/Natural Resources Protection	El Salvador	LAC	100	0	25
520-0274	Highlands Agricultural Dev.	Guatemala	LAC	15	5	0
520-0395	Maya Biosphere Natural Resource Management	Guatemala	LAC	100	100	41
521-0216	Cooperative Development (Coffee Revital.)	Haiti	LAC	42	42	0
521-0217	National Program for Agroforestry	Haiti	LAC	100	100	0
522-0246	Forestry Dev.	Honduras	LAC	70	61	66
522-0292	Land use Productivity Enhancement	Honduras	LAC	87	10	87
524-0313	PVO Co- Financing	Nicaragua	LAC	15	12	4
525-0308	Natural Resources Management	Panama	LAC	100	95	0
527-0325	Rural Water Systems & Env. Sanitation II	Peru	LAC	50	0	0

PROJECT NUMBER	TITLE	COUNTRY	BUREAU	ENV %	FOR %	BDV %
527-0328	OPG ADRA/OFAS A Nutrition and FFW	Peru	LAC	30	0	0
527-0330	Food Assisted Integrated Dev. OPG	Peru	LAC	20	20	20
527-0353	PVO PL 480 Title II Support	Peru	LAC	49	25	0
532-0101	Hillside Agriculture	Jamaica	LAC	75	50	0
532-0148	Protected Areas Resource Conservation	Jamaica	LAC	100	50	50
538-0184	Eastern Caribbean Agricultural Policy	Caribbean Regional	LAC	30	30	15
596-0150	Regional Envir. & Nat. Res. Mgt. (RENARM)	ROCAP	LAC	100	34	0
598-0616	Inter-Country Technology Transfer	LAC/REG. (Mexico)	LAC	6	6	0
602-0002	Anjouan Sustainable Agri. Project	Comoros	AFR	20	20	0
615-0236	PVO Co- Financing	Kenya	AFR	13	5	8

PROJECT NUMBER	TITLE	COUNTRY	BUREAU	ENV %	FOR %	BDV %
615-0247	Natural Resource Management	Kenya	AFR	75	20	0
617-0119	Rwenzori National Park	Uganda	AFR	100	100	100
617-0123	Parks and Protected Areas Tourism (PVO)	Uganda	AFR	70	10	0
621-0171	Wildlife Management	Tanzania	AFR	100	0	100
631-0081	Natural Resources Management	Cameroon	AFR	100	100	0
632-0228	Community Natural Resources Management	Lesotho	AFR	100	0	0
633-0250	Program Development and Support	Botswana	AFR	40	0	0
655-0017	Watershed and Applied Research Development	Cape Verde	AFR	70	20	0
656-0217	PVO Support Program	Mozambique	AFR	20	0	0
660-0124	Applied Agricultural Research II	Zaire	AFR	34	24	0
660-0125	Small Project Support	Zaire	AFR	50	20	0



PROJECT NUMBER	TITLE	COUNTRY	BUREAU	ENV %	FOR %	BDV %
673-0004	PVO/NGO Multi-Sector Asst. Project	Namibia	AFR	20	0	0
675-0219	Natural Resource Management	Guinea	AFR	100	0	0
675-0510	Program Development and Support	Guinea	AFR	10	0	0
677-0051	PVO Development Initiatives	Chad	AFR	12	0	0
683-0257	Agriculture Sector Devt. Grant III	Niger	AFR	25	25	0
683-0265	Agricultural Sector Dev. Grant II - TA	Niger	AFR	55	20	0
685-0284	PVO/NGO Support Project	Senegal	AFR	12	12	0
686-0276	Pilot Village Natural Resources Management	Burkina	AFR	100	25	0
687-0103	Amber MT Conservation and Development	Madagascar	AFR	100	65	35
687-0104	Masoala Conservation & Development	Madagascar	AFR	90	55	45

PROJECT NUMBER	TITLE	COUNTRY	BUREAU	ENV %	FOR %	BDV %
687-0110	Environment al Protection and Management	Madagascar	AFR	100	100	50
688-0247	PVO Co- Financing	Mali	AFR	40	13	0
690-0251	Natural Resources Management	South Africa Reg.	AFR	100	0	100
696-0129	Natural Resources Management	Rwanda	AFR	75	42	22
698-0467	Natural Resources Management Support	Africa Regional	AFR	92	25	55
879-0001	PVO Co- Financing	So. Pacific Reg.	APR	100	100	0
879-0010	Project Dev. & Implementati on Support	So. Pacific Reg.	APR	100	100	0
879-0023	Pacific Environment al Protection	So. Pacific Reg.	APR	100	100	0
907-0001	Prevention, Mitigation and Preparedness	FDA/FD	CTL	50	0	0
930-0300	Technical Assistance and WID Centers	PPC/WID	CTL	18	3	0
930-0600	Peace Corps	PPC/Peace Corps	CTL	31	32	19

PROJECT NUMBER	TITLE	COUNTRY	BUREAU	ENV %	FOR %	BDV %
931-1328	CRSP- Program: Small Ruminants	S&T/AGR	CTL	25	0	15
936-1421	AID/Peace Corps Small Project Asst	S&T/PO	CTL	10	10	0
936-4177	Improved BNF Thru Bio- Technology	S&T/AGR	CTL	18	18	0
936-4193.5	Sustainable Agr. Systems/CR SP	S&T/RD	CTL	52	2	0
936-5517	Environment al Planning and Management	S&T/FENR	CTL	90	70	14
936-5518	Coastal Resources Management	S&T/FENR	CTL	100	75	75
936-5555	Environment al Policy and Analysis	S&T/FENR	CTL	100	25	5
936-5559	Environ. Quality Planning & Mgt. (EPM II)	S&T/FENR	CTL	90	50	10
936-5743	Energy Efficiency Project	S&T/EY	CTL	25	0	0
938-0158	Matching Grants to PVOs	FVA/PVC	CTL	7	4	4



PROJECT NUMBER	TITLE	COUNTRY	BUREAU	ENV %	FOR %	BDV %
938-0704	Food Aid Institutional Dev. Support	FVA/PVC	CTL	17	17	17

## APPENDIX C.2.0

### PROJECTS IN THE PVO/NGO DATABASE

## C.2.0 Projects in the PVO/NGO Database

Table C.2 lists all of the A.I.D. projects which are both environmental in nature and have recorded contractual awards in the environmental sector in the CIMS database. This list is arranged numerically based on the project number. The % ENV column is the total percentage of the project which has been determined as environmental based on the AC/SI codes. A complete description of how it was derived can be found in the 1991 ICT report to S&T/FENR. The **LIFE OF PROJECT FUNDING** is the total amount obligated to the project from start to finish. The last two columns show: (1) the number of contracts from CIMS that have been made to this project that are (a) awarded to PVO/NGOs and (b) are environmental in nature; and (2) the total amounts awarded to these PVO/NGO contracts over the life of the project.

Table C.2: A.I.D. Projects with PVO/NGO Environmental Contracts

PROJECT NUMBER	TITLE	COUNTRY	% ENV	LIFE OF PROJECT FUNDING (\$'000)	# PVO/NGO ENVIR. CONTRACTS	TOTAL AWARDS TO ENVIRON. PVO/NGOS (\$'000)
306-0211	PVO Co-financing	Afghanistan	10	20500	1	850
367-0155	Rapti Development Project	Nepal	30	18800	0	0
367-0159	PVO Co-financing II	Nepal	50	10000	7	434
383-0109	Natural Resources & Environmental Policy	Sri Lanka	100	12000	0	0
391-0481	Forestry Planning and Development	Pakistan	90	35000	0	0
492-0419	PVO Co-financing II	Philippines	5	15000	2	2132
492-0465	Urban & Industrial Environmental Mgmt.	Philippines	80	planned	0	0
497-0336	PVO Co-financing II	Indonesia	8	29750	2	90
499-0004	Regional Environmental Activities	Asia Regional	100	2700	5	750
520-0274	Highlands Agricultural development	Guatemala	15	37600	0	0
521-0217	National Program for Agroforestry	Haiti	100	30000	3	4201
522-0246	Forestry Development	Honduras	70	20000	0	0
532-0148	Protected Areas Resource Conservation	Jamaica	100	1950	3	196
596-0150	Regional Environ. & Nat. Res. Mgmt.	ROCAP	100	40000	1	96
598-0616	Inter-country Technology Transfer	LAC/REG (Mexico)	6	continuing	2	300
621-0171	Wildlife Management	Tanzania	100	2500	1	810



PROJECT NUMBER	TITLE	COUNTRY	% ENV	LIFE OF PROJECT FUNDING (\$'000)	# PVO/NGO ENVIR. CONTRACTS	TOTAL AWARDS TO ENVIRON. PVO/NGOS (\$'000)
675-0510	Program Development and Support	Guinea	10	continuing	1	97
687-0110	Environ. Protection & Management	Madagascar	100	26600	0	0
690-0251	Natural Resources Management	South Afr Reg	100	19531	0	0
696-0129	Natural Resources Management	Rwanda	75	7700	2	908
698-0467	Natural Resources Management Support	Africa Regional	92	13160	16	6977
930-0300	Technical Assistance and WID Centers	PPC/WID	18	unknown	0	0
936-4177	Improved BNF Through Bio-Tech	S&T/AGR	18	9690	0	0
936-5517	Environmental Planning & Management	S&T/FENR	90	10034	6	1776
936-5518	Coastal Resources Management	S&T/FENR	100	13800	0	0
938-0158	Matching Grants to PVOs	FVA/PVC	7	continuing	17	4125

# APPENDIX C.3.0

**PVO/NGO Firms in the Database**

PVO DATABASE

PVO/NGOs

Collaborator Name: African Wildlife Foundation  
For Profit: N

Organization Type: G  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Afr Reg	698-0467-00	698-0467-3-1612451	91	02/28/92	369639
Kenya	698-0467-00	698-0467-3-1612459	91	06/30/93	450000
Total Number of Activities: 2					819639

PVO/NGOs

Collaborator Name: Africare, Inc  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Rwanda	696-0129-00	696-0129-3-90039	91	11/04/93	708400
FVA/PVC	938-0158-00	938-0158-3-9381004A1	85	07/31/90	25664
Total Number of Activities: 2					734064

PVO/NGOs

Collaborator Name: Bronx Zoo  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Uganda	698-0467-00	698-0467-3-9611969	89	12/31/91	150000
Total Number of Activities: 1					150000

PVO/NGOs

Collaborator Name: Conservation Foundation  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
FVA/PVC	938-0158-00	938-0158-3-9384006	88	07/31/90	150000
Total Number of Activities: 1					150000



PVO/NGOs

Collaborator Name: Coop for Amer Relief Everywhere (CARE)  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Nepal	367-0159-00	367-0159-3-00058	88	10/31/91	87295
Nepal	367-0159-00	367-0159-3-0058 A1	88	10/31/91	3887
Nepal	367-0159-00	367-0159-3-00015	88	10/31/91	25942
Nepal	367-0159-00	367-0159-3-00015 A1	88	10/31/91	120000
Nepal	367-0159-00	357-0159-3-00015 A2	88	10/31/91	2724
Nepal	367-0159-00	367-0159-3-00093	88	10/31/91	4434
Haiti	521-0217-00	521-0217-3-10074	90	12/31/94	833210
FVA/PVC	938-0158-00	0382007	90	06/30/91	300000
FVA/PVC	938-0158-00	1382000	90	06/30/95	300000
FVA/PVC	938-0158-00	1382000 AMD1	90	06/30/95	
FVA/PVC	938-0158-00	0381020	90	06/30/91	1000000
FVA/PVC	938-0158-00	1381000	90	06/30/95	373436
FVA/PVC	938-0158-00	1381000 AMD1	90	06/30/95	
FVA/PVC	938-0158-00	0384008	90	06/30/91	300000
FVA/PVC	938-0158-00	1384000	90	06/30/95	266400
FVA/PVC	938-0158-00	1384000AMD1	90	06/30/95	

Total Number of Activities: 16 3617328

PVO/NGOs

Collaborator Name: Experiment in International Living  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Afr Reg	698-0467-00	698-0467-3-1612465	89	03/31/93	886600
Afr Reg	698-0467-00	698-0467-3-9611955	89	09/30/91	1000000
Afr Reg	698-0467-00	698-0467-3-0611707	89	09/30/91	702287

Total Number of Activities: 3 2588887

PVO/NGOs

Collaborator Name: Intl Council for Res in Agroforestry  
For Profit: N

Organization Type: Z  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
S&T/EY	696-0129-00	00024	86	08/31/91	200000
Kenya	698-0467-00	00035	86	08/31/91	290000

Total Number of Activities: 2 490000

PVO/NGOs

Collaborator Name: Island Resources Foundation  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
FVA/PVC	938-0158-00	938-0158-9381016	89	08/31/94	300000
FVA/PVC	938-0158-00	93801589384018	89	08/31/94	160000
Total Number of Activities: 2					460000

PVO/NGOs

Collaborator Name: Kauswagan sa Timogang Mindanaw Found Inc  
For Profit: N

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Philippines	492-0419-00	492-0419-3-00102	90	08/20/93	1000000
Total Number of Activities: 1					1000000

PVO/NGOs

Collaborator Name: Mercy Corps International  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Afghanistan	306-0211-00	306-0211-3-00043	90	07/01/91	850000
Total Number of Activities: 1					850000

PVO/NGOs

Collaborator Name: Missouri Botanical Garden  
For Profit: N

Organization Type: G  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
S&T/FENR	936-5517-00	0361299	90	06/25/92	67000
Total Number of Activities: 1					67000

PVO/NGOs

Collaborator Name: Nature Conservancy  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Jamaica	532-0148-00	532-0148-3-90371	90	01/01/92	38330
Jamaica	532-0148-00	532-0148-3-00086	90	01/01/92	35078
Jamaica	532-0148-00	532-0148-3-00085	90	01/01/92	123113
FVA/PVC	938-0158-00	0381023	90	08/31/93	125000
FVA/PVC	938-0158-00	1381008	90	08/31/93	125000
Total Number of Activities:	5				446521

PVO/NGOs

Collaborator Name: New York Zoological Society  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Kenya	698-0467-00	698-0467-3-9611961	89	02/28/91	100000
Uganda	698-0467-00	698-0467-3-9611968	89	12/31/91	87000
Afr Reg	698-0467-00	698-0467-3-0611710	88	09/30/92	312900
Total Number of Activities:	3				499900

PVO/NGOs

Collaborator Name: Pan American Devt Found  
For Profit: N

Organization Type: I  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Haiti	521-0217-00	521-0217-3-00028	90	12/31/94	1985500
Haiti	521-0217-00	521-0217-3-10075	90	12/31/94	1383230
Total Number of Activities:	2				3368730

PVO/NGOs

Collaborator Name: Philippine Business for Social Progress  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Philippines	492-0419-00	492-0419-3-10087	91	05/23/94	1132849
Total Number of Activities:	1				1132849



PVO/NGOs

Collaborator Name: Save the Children Federation, Inc.  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Nepal	367-0159-00	367-0159-3-00025	90	07/14/95	190000
Total Number of Activities: 1					190000

PVO/NGOs

Collaborator Name: Smithsonian Institution  
For Profit: N

Organization Type: Z  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Tanzania	698-0467-00	698-0467-3-1612468	91	10/31/93	340765
Total Number of Activities: 1					340765

PVO/NGOs

Collaborator Name: Tuskegee University  
For Profit: N

Organization Type: D  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Tanzania	621-0171-00	621-0174-3-00026	90	09/30/95	810000
Total Number of Activities: 1					810000

PVO/NGOs

Collaborator Name: Wahana Lingkungan Hidup  
For Profit: N

Organization Type: Z  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Indonesia	497-0336-00	497-0336-3-001112	90	09/30/91	35362
Total Number of Activities: 1					35362

PVO/NGOs

Collaborator Name: World Environment Center  
For Profit: N

Organization Type: Z  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Asia Reg	499-0004-00	499-0004-3-1672187	91	08/23/93	100000
Asia Reg	499-0004-00	499-0004-3-1672178	91	08/23/93	150000
Total Number of Activities: 2					250000

PVO/NGOs

Collaborator Name: World Resources Institute  
For Profit: N

Organization Type: H  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Asia Reg	499-0004-00	499-0004-3-1672188	85	06/30/92	100000
S&T/FENR	596-0150-00	596-0150-3-00050	85	12/31/90	96401
S&T/FENR	598-0616-00	513-598-0616-3-10001	85	06/30/92	200000
S&T/FENR	598-0616-00	513-598-0616-3-10001	85	06/30/92	100000
S&T/FENR	675-0510-00	675-0510-3-900046	85	12/31/90	97268
?	698-0467-00	698-0467-3-1612458	85	06/30/92	576236
S&T/FENR	936-5517-00	0361257-01	85	01/31/91	70000
S&T/FENR	936-5517-00	936-5517-1361495	85	06/30/92	949407
S&T/FENR	936-5517-00	936-5517-1361495	85	06/30/92	30000
S&T/FENR	936-5517-00	0361256-01	85	01/31/91	30000
S&T/FENR	936-5517-00	936-5517-1361496	85	06/30/92	630000
Total Number of Activities: 11					2879312

PVO/NGOs

Collaborator Name: World Wildlife Fund  
For Profit: N

Organization Type: G  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Asia Reg	499-0004-00	499-00043-1-1672182	88	09/30/93	300000
Asia Reg	499-0004-00	499-0004-3-1672189	88	09/30/93	100000
Afr Reg	698-0467-00	698-0467-3-1612454	88	09/30/93	1440000
Afr Reg	698-0467-00	698-0467-3-90109	89	08/31/90	85000
Madagascar	698-0467-00	698-0467-3-9611966	89	08/31/90	50000
Uganda	698-0467-00	698-0467-3-0611713	88	03/31/91	108500
FVA/PVC	938-0158-00	0384005	88	10/01/93	350000
FVA/PVC	938-0158-00	1384004	88	10/01/93	350000
Total Number of Activities: 8					2783500

PVO/NGOs

Collaborator Name: Yayasan Indonesia Sejahtera  
For Profit: N

Organization Type: G  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Indonesia	497-0336-00	497-0336-3-001117	89	09/30/91	55580
Total Number of Activities:	1				55580

TOTAL PVO/NGOs

Number of Firms	Number of Activities	EAR AWARD
23	69	23719437



# APPENDIX C.4.0

## OTHER NON-PROFIT FIRMS

PVO DATABASE

OTHER NON-PROFIT ORGANIZATIONS

Collaborator Name: Agriculture, Department of                      Organization Type: Z  
For Profit: N    Economic Sector: C

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Guatemala	520-0274-00	520-0274-2-90231	83	12/31/90	32946
Honduras	522-0246-00	522-0246-3-80131.1	88	06/30/92	100000
Honduras	522-0246-00	522-0246-3-00082	88	06/30/92	794500
LAC Reg	596-0150-00	596-0150-3-00034	90	09/30/90	199999
Total Number of Activities: 4					1127445

OTHER NON-PROFIT ORGANIZATIONS

Collaborator Name: Clark University                                      Organization Type: C  
For Profit: N    Economic Sector: D

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Afr Reg	698-0467-00	698-0467-3-1612456	89	09/29/94	313000
Total Number of Activities: 1					313000

OTHER NON-PROFIT ORGANIZATIONS

Collaborator Name: Environmental Protection Agency                      Organization Type: Z  
For Profit: N    Economic Sector: C

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Chile	598-0616-00	513-598-0616-2-10002	91	06/30/92	49980
Total Number of Activities: 1					49980

OTHER NON-PROFIT ORGANIZATIONS

Collaborator Name: Hawaii, University of                                      Organization Type: C  
For Profit: N    Economic Sector: D

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
S&T/AGR	936-4177-00	0361036	86	09/29/91	570000
S&T/AGR	936-4177-00	936-4177-0361036,A.1	86	09/29/91	570000
S&T/AGR	936-4177-00	936-4177-1361063	86	09/29/91	730000
Total Number of Activities: 3					1870000

OTHER NON-PROFIT ORGANIZATIONS

Collaborator Name: North Dakota State University      Organization Type: C  
For Profit: N      Economic Sector: D

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Botswana	698-0467-00	698-00467-3-9611957	89	07/31/90	16500
Total Number of Activities: 1					16500

OTHER NON-PROFIT ORGANIZATIONS

Collaborator Name: Office of Int Coop and Devt (OICD)      Organization Type: Z  
For Profit: N      Economic Sector: C

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Honduras	522-0246-00	522-0246-3-10133	88	06/30/92	50000
Total Number of Activities: 1					50000

OTHER NON-PROFIT ORGANIZATIONS

Collaborator Name: Peace Corps      Organization Type: Z  
For Profit: N      Economic Sector: C

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Burundi	698-0467-00	698-0467-2-0611714	88	12/26/92	302893
Niger	698-0467-00	698-0467-2-0611715	90	09/29/93	429062
Total Number of Activities: 2					731955

OTHER NON-PROFIT ORGANIZATIONS

Collaborator Name: Rhode Island, University of      Organization Type: C  
For Profit: N      Economic Sector: D

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Ecuador, Sri Lanka, Indon	383-0109-00	383-109-3-11007	85	05/15/95	500000
Ecuador, Sri Lanka, Indon	596-0150-00	596-0150-3-10051	85	05/15/95	15821
Ecuador, Sri Lanka, Indon	596-0150-00	596-0150-3-00088	85	09/30/92	102180
S&T/FENR	936-5518-00	0361031	85	09/30/92	265000
S&T/FENR	936-5518-00	0361031 A3	85	05/31/91	225000
S&T/FENR	936-5518-00	0361031A1	85	09/30/92	880000
S&T/FENR	936-5518-00	1361109	85	05/15/95	1100000
Total Number of Activities: 7					3088001



OTHER NON-PROFIT ORGANIZATIONS

Collaborator Name: Wisconsin-Madison, University of  
For Profit: N

Organization Type: C  
Economic Sector: D

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
S&T/RD	698-0467-00	698-0467-3-1612455	89	12/31/93	300000
PPC/WID	930-0300-00	0302426	89	12/31/93	208015
Total Number of Activities: 2					508015

TOTAL OTHER NON-PROFIT ORGANIZATIONS

Number of Firms	Number of Activities	EAR AWARD
9	22	7754896

# APPENDIX C.5.0

FOR PROFIT FIRMS

For-Profit Collaborators

Collaborator Name: ABT Associates, Inc  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
S&T/AGR	596-0150-00	596-0150-3-10008	88	01/30/92	30000
S&T/AGR	596-0150-00	596-0150-3-10008,A-1	88	01/30/92	120712
S&T/AGR	596-0150-00	596-0150-3-10008	88	01/30/92	10000
Guinea	675-0510-00	675-0510-3-80020,A-1	88	07/30/90	23534
Total Number of Activities	4				184246

For-Profit Collaborators

Collaborator Name: Chemonics International Consulting Div.  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Afr Reg	698-0467-00	698-0467-3-1612452	90	12/23/91	79841
S&T/FENR	936-5517-00	0361173	90	12/31/93	10000
S&T/FENR	936-5517-00	0361173 AMD1	90	12/31/93	-10000
Total Number of Activities	3				79841

For-Profit Collaborators

Collaborator Name: Cutshall, Charles R  
For Profit: Y

Organization Type: B  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Zimbabwe	690-0251-00	690-0251-3-90030	90	01/10/93	198008
Zimbabwe	690-0251-00	690-0251-3-90030 A2	90	01/10/93	53000
Total Number of Activities	2				251008

For-Profit Collaborators

Collaborator Name: Dames and Moore/Louis Berger Internation  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
S&T/FENR	936-5517-00	7361609 A1	87	08/30/90	-1000
Total Number of Activities	1				-1000



For-Profit Collaborators

Collaborator Name: Datex, Inc.  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
S&T/FENR	936-5517-00	936-5517-1361663	91	09/02/92	455000
S&T/FENR	936-5517-00	936-5517-1361663-01	91	09/02/92	200000
Total Number of Activities	2				655000

For-Profit Collaborators

Collaborator Name: Development Alternatives, Inc.  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
LAC Reg	596-0150-00	596-0150-3-00035	86	09/29/91	8638
Rwanda	696-0129-00	696-0129-3-00015 A1	90	09/30/93	700000
PPC/WID	930-0300-00	0302441	86	09/29/91	7735
PPC/WID	930-0300-00	0302441,A-1	86	09/29/91	5571
Total Number of Activities	4				721944

For-Profit Collaborators

Collaborator Name: Development Alternatives/Nathan Assoc.  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Nepal	367-0155-00	367-0155-3-90122	88	04/20/90	105915
Nepal	367-0155-00	367-0155-3-90122 (C)	88	04/20/90	3063
Total Number of Activities	2				108978

For-Profit Collaborators

Collaborator Name: Development Associates/DAI  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
S&T/FENR	936-5517-00	0361503	88	10/01/90	20138
Total Number of Activities	1				20138

For-Profit Collaborators

Collaborator Name: Devres, Inc.  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Nepal	367-0155-00	367-0155-3-00085	88	02/18/93	500000
Total Number of Activities	1				500000

For-Profit Collaborators

Collaborator Name: Domestic Technology Intl, Inc  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Afr Reg	698-0467-00	698-0467-3-9611967	89	01/31/91	142803
Total Number of Activities	1				142803

For-Profit Collaborators

Collaborator Name: Energy Development International  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Afr Reg	698-0467-00	698-0467-3-9611953A1	88	09/30/90	277000
Afr Reg	698-0467-00	698-0467-3-0611708	88	09/29/90	702964
Total Number of Activities	2				979964

For-Profit Collaborators

Collaborator Name: Gaylord, Elizabeth P  
For Profit: Y

Organization Type: B  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Madagascar	687-0110-00	687-0110-3-00002	91	06/19/93	280257
Total Number of Activities	1				280257

For-Profit Collaborators

Collaborator Name: Hanson, Arthur J.  
For Profit: Y

Organization Type: B  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
S&T/FENR	936-5517-00	1361114	91	05/24/91	21240
S&T/FENR	936-5517-00	936-5517-1361114-01	91	05/24/91	
Total Number of Activities	2				21240

For-Profit Collaborators

Collaborator Name: Institute for International Research, Inc  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
S&T/FENR	936-5517-00	0361677	90	09/27/93	114137
Total Number of Activities	1				114137

For-Profit Collaborators

Collaborator Name: International Ag Devt Service  
For Profit: Y

Organization Type: A  
Economic Sector: B

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Pakistan	391-0481-00	391-0481-3-60305	85	02/28/93	400000
Pakistan	391-0481-00	391-0481-3-60305 A1	85	02/28/93	50000
Total Number of Activities	2				450000

For-Profit Collaborators

Collaborator Name: International Resources Group, LTD.  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
S&T/FENR	936-5517-00	0361172	90	12/31/93	10000
S&T/FENR	936-5517-00	936-5517-1361691	90	12/31/91	105750
Total Number of Activities	2				115750



For-Profit Collaborators

Collaborator Name: Management Systems International, Inc  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD	
?	698-0467-00	698-0467-3-1612462	90	02/15/92	50000	
Total Number of Activities					1	50000

For-Profit Collaborators

Collaborator Name: Nathan Associates, Inc  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD	
S&T/FENR	936-5517-00	0361502	88	10/12/90	71550	
S&T/FENR	936-5517-00	0361502 A-1	88	10/12/90	11386	
Total Number of Activities					2	82936

For-Profit Collaborators

Collaborator Name: Rigby, John  
For Profit: Y

Organization Type: B  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD	
S&T/FENR	936-5517-00	1361113	91	05/15/91	22249	
S&T/FENR	936-5517-00	936-5517-1361113	91	06/28/91	2673	
Total Number of Activities					2	24922

For-Profit Collaborators

Collaborator Name: T. Head and Company, Inc.  
For Profit: Y

Organization Type: A  
Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD	
S&T/FENR	936-5517-00	0361389	90	09/30/92	233000	
Total Number of Activities					1	233000

For-Profit Collaborators

Collaborator Name: Tropical Research and Development, Inc      Organization Type: A  
For Profit: Y      Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Afr Reg	698-0467-00	698-0467-3-9611974	87	01/30/90	90000
Afr Reg	698-0467-00	698-510-3-0619024	87	09/14/90	147230
PPC/WID	930-0300-00	0302446	87	06/30/90	10429
S&T/FENR	936-5517-00	0361157	87	08/03/90	30282
Total Number of Activities	4				277941

For-Profit Collaborators

Collaborator Name: Tropical Research and Development/KBN      Organization Type: A  
For Profit: Y      Economic Sector: A

COUNTRY	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
?	391-0481-00	391-0481-3-60361	90	09/15/91	112803
Philippines	492-0465-00	492-0444-3-00249	90	10/31/91	198401
S&T/FENR	936-5517-00	7361608 A1	87	08/30/90	-10000
S&T/FENR	936-5517-00	0361174	90	12/31/93	10000
S&T/FENR	936-5517-00	0361174 AMD1	90	12/31/93	-10000
Total Number of Activities	5				301204

TOTAL FOR PROFIT

Number of Firms	Number of Activities	EAR AWARD
22	46	5594309

## APPENDIX C.6.0

### REGIONAL DISTRIBUTION OF CONTRACTS



Countries ReportAFR BUREAU

Country of Operations: Afr Reg

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
African Wildlife Foundation	N	698-0467-00	698-0467-3-1612451	91	02/28/92	369639
Chemonics International Consulting Div.	Y	698-0467-00	698-0467-3-1612452	90	12/23/91	79841
Clark University	N	698-0467-00	698-0467-3-1612456	89	09/29/94	313000
Domestic Technology Intl, Inc	Y	698-0467-00	698-0467-3-9611967	89	01/31/91	142803
Energy Development International	Y	698-0467-00	698-0467-3-9611953A1	88	09/30/90	277000
Energy Development International	Y	698-0467-00	698-0467-3-0611708	88	09/29/90	702964
Experiment in International Living	N	698-0467-00	698-0467-3-1612465	89	03/31/93	886600
Experiment in International Living	N	698-0467-00	698-0467-3-9611955	89	09/30/91	1000000
Experiment in International Living	N	698-0467-00	698-0467-3-0611707	89	09/30/91	702287
New York Zoological Society	N	698-0467-00	698-0467-3-0611710	88	09/30/92	312900
Tropical Research and Development, Inc	Y	698-0467-00	698-0467-3-9611974	87	01/30/90	90000
Tropical Research and Development, Inc	Y	698-0467-00	698-510-3-0619024	87	09/14/90	147230
World Wildlife Fund	N	698-0467-00	698-0467-3-1612454	88	09/30/93	1440000
World Wildlife Fund	N	698-0467-00	698-0467-3-90109	89	08/31/90	85000

Number of Firms:	9	For Profits:	4	Number of Activities:	14	
		Non Profits:	5			6549264

AFR BUREAU

Country of Operations: Botswana

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
North Dakota State University	N	698-0467-00	698-00467-3-9611957	89	07/31/90	16500

Number of Firms:	1	For Profits:		Number of Activities:	1	
		Non Profits:	1			16500

AFR BUREAU

Country of Operations: Burundi

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Peace Corps	N	698-0467-00	698-0467-2-0611714	88	12/26/92	302893

Number of Firms:	1	For Profits:		Number of Activities:	1	
		Non Profits:	1			302893

AFR BUREAU

Country of Operations: Guinea

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
ABT Associates, Inc	Y	675-0510-00	675-0510-3-80020,A-1	88	07/30/90	23534
Number of Firms: 1	For Profits: 1	Number of Activities: 1				23534
	Non Profits:					

AFR BUREAU

Country of Operations: Kenya

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
African Wildlife Foundation	N	698-0467-00	698-0467-3-1612459	91	06/30/93	450000
Intl Council for Res in Agroforestry	N	698-0467-00	00035	86	08/31/91	290000
New York Zoological Society	N	698-0467-00	698-0467-3-9611961	89	02/28/91	100000
Number of Firms: 3	For Profits:	Number of Activities: 3				840000
	Non Profits: 3					

AFR BUREAU

Country of Operations: Madagascar

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Gaylord, Elizabeth P	Y	687-0110-00	687-0110-3-00002	91	06/19/93	280257
World Wildlife Fund	N	698-0467-00	698-0467-3-9611966	89	08/31/90	50000
Number of Firms: 2	For Profits: 1	Number of Activities: 2				330257
	Non Profits: 1					

AFR BUREAU

Country of Operations: Niger

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Peace Corps	N	698-0467-00	698-0467-2-06111715	90	09/29/93	429062
Number of Firms: 1	For Profits:	Number of Activities: 1				429062
	Non Profits: 1					

AFR BUREAU

Country of Operations: Rwanda

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Africare, Inc	N	696-0129-00	696-0129-3-90039	91	11/04/93	708400
Development Alternatives, Inc.	Y	696-0129-00	696-0129-3-00015 A1	90	09/30/93	700000
Number of Firms:	2	For Profits:	1	Number of Activities:	2	
		Non Profits:	1			1408400

AFR BUREAU

Country of Operations: Tanzania

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Smithsonian Institution	N	698-0467-00	698-0467-3-1612468	91	10/31/93	340765
Tuskegee University	N	621-0171-00	621-0174-3-00026	90	09/30/95	810000
Number of Firms:	2	For Profits:		Number of Activities:	2	
		Non Profits:	2			1150765

AFR BUREAU

Country of Operations: Uganda

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Bronx Zoo	N	698-0467-00	698-0467-3-9611969	89	12/31/91	150000
New York Zoological Society	N	698-0467-00	698-0467-3-9611968	89	12/31/91	87000
World Wildlife Fund	N	698-0467-00	698-0467-3-0611713	88	03/31/91	108500
Number of Firms:	3	For Profits:		Number of Activities:	3	
		Non Profits:	3			345500

AFR BUREAU

Country of Operations: Zimbabwe

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Cutshall, Charles R	Y	690-0251-00	690-0251-3-90030	90	01/10/93	198008
Cutshall, Charles R	Y	690-0251-00	690-0251-3-90030 A2	90	01/10/93	53000
Number of Firms:	1	For Profits:	1	Number of Activities:	2	
		Non Profits:				251008

AFR Bureau

Number of AFR Countries with Activities:	11
Total Number of Activities:	32
Number of Non-Profit Activities:	18
Total EAR AWARD:	11647183



APR BUREAU

Country of Operations: Asia Reg

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
World Environment Center	N	499-0004-00	499-0004-3-1672187	91	08/23/93	100000
World Environment Center	N	499-0004-00	499-0004-3-1672178	91	08/23/93	150000
World Resources Institute	N	499-0004-00	499-0004-3-1672188	85	06/30/92	100000
World Wildlife Fund	N	499-0004-00	499-00043-1-1672182	88	09/30/93	300000
World Wildlife Fund	N	499-0004-00	499-0004-3-1672189	88	09/30/93	100000
Number of Firms:	3	For Profits:	Number of Activities:	5		
		Non Profits:				750000

APR BUREAU

Country of Operations: Indonesia

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Wahana Lingkungan Hidup	N	497-0336-00	497-0336-3-001112	90	09/30/91	35362
Yayasan Indonesia Sejahtera	N	497-0336-00	497-0336-3-001117	89	09/30/91	55580
Number of Firms:	2	For Profits:	Number of Activities:	2		
		Non Profits:				90942

APR BUREAU

Country of Operations: Nepal

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Coop for Amer Relief Everywhere (CARE)	N	367-0159-00	367-0159-3-00058	88	10/31/91	87295
Coop for Amer Relief Everywhere (CARE)	N	367-0159-00	367-0159-3-0058 A1	88	10/31/91	3887
Coop for Amer Relief Everywhere (CARE)	N	367-0159-00	367-0159-3-00015	88	10/31/91	25942
Coop for Amer Relief Everywhere (CARE)	N	367-0159-00	367-0159-3-00015 A1	88	10/31/91	120000
Coop for Amer Relief Everywhere (CARE)	N	367-0159-00	357-0159-3-00015 A2	88	10/31/91	2724
Coop for Amer Relief Everywhere (CARE)	N	367-0159-00	367-0159-3-00093	88	10/31/91	4434
Development Alternatives/Nathan Assoc.	Y	367-0155-00	367-0155-3-90122	88	04/20/90	105915
Development Alternatives/Nathan Assoc.	Y	367-0155-00	367-0155-3-90122 (C)	88	04/20/90	3063
Devres, Inc.	Y	367-0155-00	367-0155-3-00085	88	02/18/93	500000
Save the Children Federation, Inc.	N	367-0159-00	367-0159-3-00025	90	07/14/95	190000
Number of Firms:	4	For Profits:	Number of Activities:	10		
		Non Profits:				1043260

APR BUREAU

Country of Operations: Philippines

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Kauswagan sa Timogang Mindanaw Found Inc	N	492-0419-00	492-0419-3-00102	90	08/20/93	1000000
Philippine Business for Social Progress	N	492-0419-00	492-0419-3-10087	91	05/23/94	1132849
Tropical Research and Development/KBN	Y	492-0465-00	492-0444-3-00249	90	10/31/91	198401
Number of Firms:	3	For Profits:	1	Number of Activities:	3	
		Non Profits:	2			2331250

APR Bureau

Number of APR Countries with Activities:	4
Total Number of Activities:	20
Number of Non-Profit Activities:	9
Total EAR AWARD:	4215452

CTL BUREAU

Country of Operations: Ecuador, Sri Lanka, Indon

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Rhode Island, University of	N	383-0109-00	383-109-3-11007	85	05/15/95	500000
Rhode Island, University of	N	596-0150-00	596-0150-3-10051	85	05/15/95	15821
Rhode Island, University of	N	596-0150-00	596-0150-3-00088	85	09/30/92	102180

Number of Firms:	1	For Profits:		Number of Activities:	3	
		Non Profits:	1			618001

CTL BUREAU

Country of Operations: FVA/PVC

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Africare, Inc	N	938-0158-00	938-0158-3-9381004A1	85	07/31/90	25664
Conservation Foundation	N	938-0158-00	938-0158-3-9384006	88	07/31/90	150000
Coop for Amer Relief Everywhere (CARE)	N	938-0158-00	0382007	90	06/30/91	300000
Coop for Amer Relief Everywhere (CARE)	N	938-0158-00	1382000	90	06/30/95	300000
Coop for Amer Relief Everywhere (CARE)	N	938-0158-00	1382000 AMD1	90	06/30/95	
Coop for Amer Relief Everywhere (CARE)	N	938-0158-00	0381020	90	06/30/91	1000000
Coop for Amer Relief Everywhere (CARE)	N	938-0158-00	1381000	90	06/30/95	373436
Coop for Amer Relief Everywhere (CARE)	N	938-0158-00	1381000 AMD1	90	06/30/95	
Coop for Amer Relief Everywhere (CARE)	N	938-0158-00	0384008	90	06/30/91	300000
Coop for Amer Relief Everywhere (CARE)	N	938-0158-00	1384000	90	06/30/95	266400
Coop for Amer Relief Everywhere (CARE)	N	938-0158-00	1384000AMD1	90	06/30/95	
Island Resources Foundation	N	938-0158-00	938-0158-9381016	89	08/31/94	300000
Island Resources Foundation	N	938-0158-00	93801589384018	89	08/31/94	160000
Nature Conservancy	N	938-0158-00	0381023	90	08/31/93	125000
Nature Conservancy	N	938-0158-00	1381008	90	08/31/93	125000
World Wildlife Fund	N	938-0158-00	0384005	88	10/01/93	350000
World Wildlife Fund	N	938-0158-00	1384004	88	10/01/93	350000

Number of Firms:	6	For Profits:		Number of Activities:	17	
		Non Profits:	6			4125500

CTL BUREAU

Country of Operations: PPC/WID

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Development Alternatives, Inc.	Y	930-0300-00	0302441	86	09/29/91	7735
Development Alternatives, Inc.	Y	930-0300-00	0302441,A-1	86	09/29/91	5571
Tropical Research and Development, Inc	Y	930-0300-00	0302446	87	06/30/90	10429
Wisconsin-Madison, University of	N	930-0300-00	0302426	89	12/31/93	208015

Number of Firms:	3	For Profits:	2	Number of Activities:	4	
		Non Profits:	1			231750



CTL BUREAU

Country of Operations: S&amp;T/AGR

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
ABT Associates, Inc	Y	596-0150-00	596-0150-3-10008	88	01/30/92	30000
ABT Associates, Inc	Y	596-0150-00	596-0150-3-10008,A-1	88	01/30/92	120712
ABT Associates, Inc	Y	596-0150-00	596-0150-3-10008	88	01/30/92	10000
Hawaii, University of	N	936-4177-00	0361036	86	09/29/91	570000
Hawaii, University of	N	936-4177-00	936-4177-0361036,A.1	86	09/29/91	570000
Hawaii, University of	N	936-4177-00	936-4177-1361063	86	09/29/91	730000
<hr/>						
Number of Firms:	2	For Profits:	1	Number of Activities:	6	
		Non Profits:	1			2030712

CTL BUREAU

Country of Operations: S&amp;T/EY

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Intl Council for Res in Agroforestry	N	696-0129-00	00024	86	08/31/91	200000
<hr/>						
Number of Firms:	1	For Profits:		Number of Activities:	1	
		Non Profits:	1			200000

CTL BUREAU

Country of Operations: S&amp;T/FENR

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Chemonics International Consulting Div.	Y	936-5517-00	0361173	90	12/31/93	10000
Chemonics International Consulting Div.	Y	936-5517-00	0361173 AMD1	90	12/31/93	-10000
Dames and Moore/Louis Berger Internation	Y	936-5517-00	7361609 A1	87	08/30/90	-1000
Datex, Inc.	Y	936-5517-00	936-5517-1361663	91	09/02/92	455000
Datex, Inc.	Y	936-5517-00	936-5517-1361663-01	91	09/02/92	200000
Development Associates/DAI	Y	936-5517-00	0361503	88	10/01/90	20138
Hanson, Arthur J.	Y	936-5517-00	1361114	91	05/24/91	21240
Hanson, Arthur J.	Y	936-5517-00	936-5517-1361114-01	91	05/24/91	
Institute for International Research,Inc	Y	936-5517-00	0361677	90	09/27/93	114137
International Resources Group, LTD.	Y	936-5517-00	0361172	90	12/31/93	10000
International Resources Group, LTD.	Y	936-5517-00	936-5517-1361691	90	12/31/91	105750
Missouri Botanical Garden	N	936-5517-00	0361299	90	06/25/92	67000
Nathan Associates, Inc	Y	936-5517-00	0361502	88	10/12/90	71550
Nathan Associates, Inc	Y	936-5517-00	0361502 A-1	88	10/12/90	11386
Rhode Island, University of	N	936-5518-00	0361031	85	09/30/92	265000
Rhode Island, University of	N	936-5518-00	0361031 A3	85	05/31/91	225000
Rhode Island, University of	N	936-5518-00	0361031A1	85	09/30/92	880000
Rhode Island, University of	N	936-5518-00	1361109	85	05/15/95	1100000
Rigby, John	Y	936-5517-00	1361113	91	05/15/91	22249
Rigby, John	Y	936-5517-00	936-5517-1361113	91	06/28/91	2673
T. Head and Company, Inc.	Y	936-5517-00	0361389	90	09/30/92	233000

Country of Operations: S&T/FENR  
CONTINUED

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Tropical Research and Development, Inc	Y	936-5517-00	0361157	87	08/03/90	30282
Tropical Research and Development/KBN	Y	936-5517-00	7361608 A1	87	08/30/90	-10000
Tropical Research and Development/KBN	Y	936-5517-00	0361174	90	12/31/93	10000
Tropical Research and Development/KBN	Y	936-5517-00	0361174 AMD1	90	12/31/93	-10000
World Resources Institute	N	596-0150-00	596-0150-3-00050	85	12/31/90	96401
World Resources Institute	N	598-0616-00	513-598-0616-3-10001	85	06/30/92	200000
World Resources Institute	N	598-0616-00	513-598-0616-3-10001	85	06/30/92	100000
World Resources Institute	N	675-0510-00	675-0510-3-900046	85	12/31/90	97268
World Resources Institute	N	936-5517-00	0361257-01	85	01/31/91	70000
World Resources Institute	N	936-5517-00	936-5517-1361495	85	06/30/92	949407
World Resources Institute	N	936-5517-00	936-5517-1361495	85	06/30/92	30000
World Resources Institute	N	936-5517-00	0361256-01	85	01/31/91	30000
World Resources Institute	N	936-5517-00	936-5517-1361496	85	06/30/92	630000

Number of Firms:	15	For Profits:	12	Number of Activities:	34	
		Non Profits:	3			6026481

CTL BUREAU

Country of Operations: S&T/RD

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Wisconsin-Madison, University of	N	698-0467-00	698-0467-3-1612455	89	12/31/93	300000

Number of Firms:	1	For Profits:		Number of Activities:	1	
		Non Profits:	1			300000

CTL Bureau

Number of CTL Countries with Activities:	7
Total Number of Activities:	66
Number of Non-Profit Activities:	14
Total EAR AWARD:	13532444

ENE BUREAU

Country of Operations: Afghanistan

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Mercy Corps International	N	306-0211-00	306-0211-3-00043	90	07/01/91	850000

Number of Firms:	1	For Profits:		Number of Activities:	1	
		Non Profits:	1			850000

ENE BUREAU

Country of Operations: Pakistan

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
International Ag Devt Service	Y	391-0481-00	391-0481-3-60305	85	02/28/93	400000
International Ag Devt Service	Y	391-0481-00	391-0481-3-60305 A1	85	02/28/93	50000

Number of Firms:	1	For Profits:	1	Number of Activities:	2	
		Non Profits:				450000



ENE Bureau

Number of ENE Countries with Activities:	2
Total Number of Activities:	3
Number of Non-Profit Activities:	1
Total EAR AWARD:	1300000

LAC BUREAU

Country of Operations: Chile

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Environmental Protection Agency	N	598-0616-00	513-598-0616-2-10002	91	06/30/92	49980
Number of Firms: 1	For Profits: 1	Number of Activities: 1				49980
	Non Profits: 1					

LAC BUREAU

Country of Operations: Guatemala

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Agriculture, Department of	N	520-0274-00	520-0274-2-90231	83	12/31/90	32946
Number of Firms: 1	For Profits: 1	Number of Activities: 1				32946
	Non Profits: 1					

LAC BUREAU

Country of Operations: Haiti

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Coop for Amer Relief Everywhere (CARE)	N	521-0217-00	521-0217-3-10074	90	12/31/94	833210
Pan American Devt Found	N	521-0217-00	521-0217-3-00028	90	12/31/94	1985500
Pan American Devt Found	N	521-0217-00	521-0217-3-10075	90	12/31/94	1383230
Number of Firms: 2	For Profits: 2	Number of Activities: 3				4201940
	Non Profits: 2					

LAC BUREAU

Country of Operations: Honduras

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Agriculture, Department of	N	522-0246-00	522-0246-3-80131.1	88	06/30/92	100000
Agriculture, Department of	N	522-0246-00	522-0246-3-00082	88	06/30/92	794500
Office of Int Coop and Devt (OICD)	N	522-0246-00	522-0246-3-10133	88	06/30/92	50000
Number of Firms: 2	For Profits: 2	Number of Activities: 3				944500
	Non Profits: 2					

LAC BUREAU

Country of Operations: Jamaica

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Nature Conservancy	N	532-0148-00	532-0148-3-90371	90	01/01/92	38330
Nature Conservancy	N	532-0148-00	532-0148-3-00086	90	01/01/92	35078
Nature Conservancy	N	532-0148-00	532-0148-3-00085	90	01/01/92	123113

Number of Firms:	1	For Profits:		Number of Activities:	3	
		Non Profits:	1			196521

LAC BUREAU

Country of Operations: LAC Reg

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Agriculture, Department of	N	596-0150-00	596-0150-3-00034	90	09/30/90	199999
Development Alternatives, Inc.	Y	596-0150-00	596-0150-3-00035	86	09/29/91	8638

Number of Firms:	2	For Profits:	1	Number of Activities:	2	
		Non Profits:	1			208637

LAC Bureau

Number of LAC Countries with Activities:	6
Total Number of Activities:	13
Number of Non-Profit Activities:	8
Total EAR AWARD:	5634524



UKN BUREAU

Country of Operations: ?

FIRM	FOR PROFIT	PROJECT NUMBER	PIOT NUMBER	FY	COMP DATE	EAR AWARD
Management Systems International, Inc	Y	698-0467-00	698-0467-3-1612462	90	02/15/92	50000
Tropical Research and Development/KBN	Y	391-0481-00	391-0481-3-60361	90	09/15/91	112803
World Resources Institute	N	698-0467-00	698-0467-3-1612458	85	06/30/92	576236

Number of Firms:	3	For Profits:	2	Number of Activities:	3	
		Non Profits:	1			739039

UKN Bureau

Number of UKN Countries with Activities:	1
Total Number of Activities:	3
Number of Non-Profit Activities:	1
Total EAR AWARD:	739039

Countries Total

Total Number of Activities:	137
Number of Non-Profit Activities:	51
Total EAR AWARD:	37068642

Figure C.1: Country Obligations for Africa Bureau.

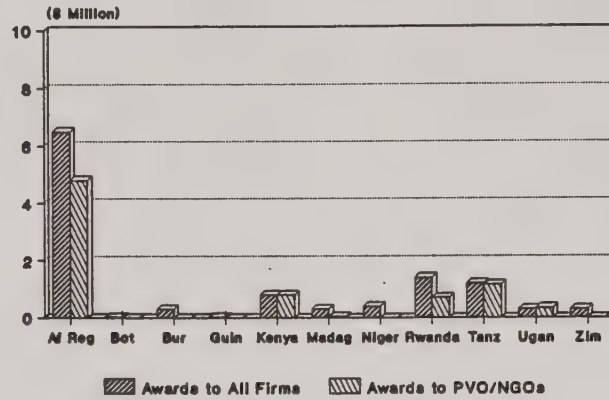
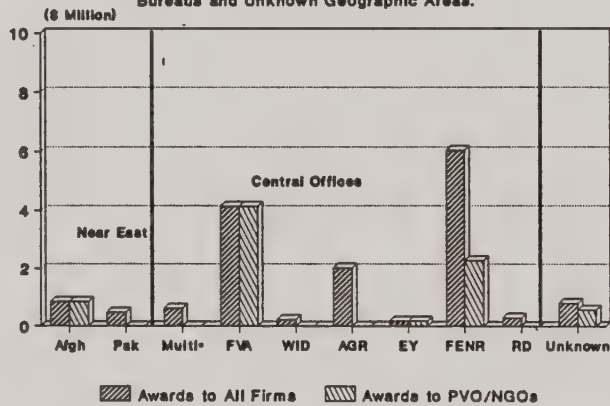
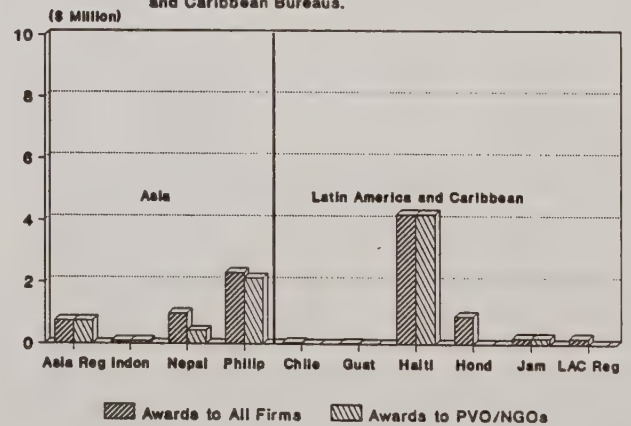


Figure C.3: Country Obligations for Near East and Central Bureaus and Unknown Geographic Areas.



\* Contracts operating simultaneously in Ecuador, Sri Lanka, and Indonesia

Figure C.2: Country Obligations for Asia and Latin America and Caribbean Bureaus.





# APPENDIX D

## CONTRACT INFORMATION MANAGEMENT SYSTEMS DIRECT ACTION DATA FORM

## CONTRACT INFORMATION MANAGEMENT SYSTEM (CIMS)

## DIRECT ACTION DATA FORM

(For awards to U.S. Individuals and Third Country Nationals (not FSNs) with a TEC less than or equal to \$25,000, only the shaded items on pages 1-5 of the form need to be completed.)

## SECTION 1: GENERAL AWARD INFORMATION (All Actions)

1a. Basic Award Number		1b. Basic Mod Number		1c. Order Number		1d. Order Mod Number	
AWARD NUMBER COMPONENTS [Items 2-6] NOTE: For modifications and orders — these items refer to the Basic Award.							
2. Country/Office (Award Prefix)						3. Project Number	
4. Procurement Instrument: Report one of the following codes to identify the type of action. For a work order or a modification to a contract or work order, indicate the category of the basic contract.							
<input type="checkbox"/> A - Cooperative Agreement <input type="checkbox"/> B - Basic Ordering Agreement (BOA) <input type="checkbox"/> C - Contract (Other than BOA, DQC, IQC, PO, RC, PSC) <input type="checkbox"/> D - Definite Quantity Contract (DQC) <input type="checkbox"/> E - Blanket Purchase Agreement (BPA) <input type="checkbox"/> G - Grant <input type="checkbox"/> I - Indefinite Quantity Contract (IQC) <input type="checkbox"/> M - GSA Schedule Order				<input type="checkbox"/> N - Other Federal Schedule Order <input type="checkbox"/> O - Purchase Order (PO) <input type="checkbox"/> P - Participating Agency Service Agreement (PASA) <input type="checkbox"/> Q - Requirements Contract (RC) <input type="checkbox"/> R - Resources Support Services Agreement (RSSA) <input type="checkbox"/> S - Personal Services Contract (PSC) <input type="checkbox"/> X - Ribbon PASA <input type="checkbox"/> Z - Ribbon Contract (Buy-In)			
5. Participating Agency (PASA/RSSA only)						6. FY of Award	
7. Award Description							
8. Principal Place of Performance		U.S. ONLY		b. City		c. State	
a. A.I.D. Country Code or Name						d. Zip Code	
9. Benefiting Country (A.I.D. Country Code or Name)							
10. Project Officer							
Cntry Code/Org. Symbol or A.I.D./W Org. Symbol		Last Name		First		MI	
11. Requirement Received by Procuring Office (MM/DD/YY)		12. Date Award Signed by A.I.D. (MM/DD/YY)		13. Effective Date of Award (MM/DD/YY)		14. Estimated Completion Date (MM/DD/YY)	
BASIC AWARDS ONLY							
15a. SIC Code		15b. Is This Vendor a Small Business for the SIC of Award?				16. Product/Service Code	
		<input type="checkbox"/> Yes <input type="checkbox"/> No					
BASIC AWARDS AND ORDERS ONLY							
17. Procurement Type							
<input type="checkbox"/> A - Technical Services To A.I.D. <input type="checkbox"/> B - Technical Services to Host Country <input type="checkbox"/> C - Commodities <input type="checkbox"/> D - Training Services to A.I.D. <input type="checkbox"/> E - Training Services to Host Country				<input type="checkbox"/> F - Participant Training <input type="checkbox"/> G - Procurement Service Agent (PSA) <input type="checkbox"/> H - Research <input type="checkbox"/> I - Architect and Engineering Services <input type="checkbox"/> J - Construction			
18. Negotiator (Last, First, MI)		Country Code & Org. Symbol or A.I.D./W Org. Symbol		Signature			
19. Contract Officer (Last, First, MI)		Country Code & Org. Symbol or A.I.D./W Org. Symbol		Signature			



## SECTION 2: DATA REQUIRED FOR SPECIFIC TYPES OF ACTIONS

### GRANTS AND COOPERATIVE AGREEMENTS ONLY

20. Grant/Agreement Type

☐  
☐

A - Disaster Assistance  
B - American Schools and Hospitals Abroad (ASHA)

☐  
☐  
☐

C - Other Specific Support Programs  
D - Title XII Authority  
E - Other than A, B, C, or D

21. Total Amount of Non-Federal Funds pledged to this Grant/Agreement

\$

### GSA & OTHER FEDERAL SCHEDULE ORDERS

22. Schedule Contract Number

23. Name of Federal Agency Awarding Schedule

### IQC BASIC ONLY

24. IQC Service Area

25. Minimum

\$

26. Maximum (life of contract)

\$

### ORDERS AND CONTRACTS ONLY

27. Advisory & Assistance Services?

☐

Yes

☐

No

### BASIC CONTRACTS ONLY

28a. Contract Type

☐  
☐  
☐  
☐  
☐

A - Cost-Plus (Fixed Fee)  
B - Firm Fixed Price  
C - Time and Materials  
D - Labor Hour  
E - Cost Sharing

☐  
☐  
☐  
☐

F - Cost (No Fee)  
G - Cost-Plus (Award Fee)  
H - Cost-Plus (Incentive Fee)  
I - Fixed-Price Incentive  
J - Fixed-Price Redetermination

☐

K - Fixed Price with Economic Price Adjustment

28b. If Cost-type Contract is this a level of effort (term) contract?

☐

Yes

☐

No

29a. Is this a Letter Contract?

☐

Yes

☐

No

29b. Is this a Definitional of a Letter Contract?

☐

Yes

☐

No

30. Preference Program

☐  
☐  
☐  
☐

A - No Preference Program or Not Listed  
B - 8(a) Program  
C - Small Business (SB) Set-aside  
D - Sheltered Workshop

☐  
☐  
☐

E - Tie-bid Preference  
F - Labor Surplus Area (LSA) Set-aside  
G - Combined LSA/SB Set-aside

31. Subject To Labor Statute

☐

A - Walsh-Healey Act, Manufacturer

☐

B - Walsh-Healey Act, Regular Dealer

☐

C - Service Contract Act

☐

D - Davis-Bacon Act

☐

E - Not Subject to the Above (Include PSCs)

32. Country of Manufacture/Origin of Services (A.I.D. Country Code or Name)

33. Subcontract Plan Required?

☐

Yes

☐

No

34. Date Solicitation Notice Published in CBD (MM/DD/YY)

35. Date Solicitation Issued (MM/DD/YY)

## 36. CICA Applicability

- ☐ A - CICA Applicable (Include 8(a))  
☐ B - Small Purchase Procedure

- ☐ C - Subject to a Statute other than CICA (use for FAA)  
☐ D - Pre-CICA

## 37. Extent Competed

- ☐ A - Competed Action (Include Small Business set-aside)  
☐ B - Not Available for Competition (use for sole-source 8(a))

- ☐ C - Follow-on to Competed Action  
☐ D - Not Competed

## 38. Solicitation Procedure

- ☐ A - Full and Open Competition (Sealed Bid)  
☐ B - Full and Open Competition (Competitive Proposal)  
☐ C - Full and Open Competition (Combination)  
☐ D - Architect - Engineer  
☐ E - Basic Research  
☐ F - Multiple Award Schedule

- ☐ G - Alternate Source (Reduced Cost)  
☐ H - Alternate Source (Mobilization)  
☐ J - Alternate Source (Engineering/R&D)  
☐ K - Set Aside (Include competitive 8(a))  
☐ L - Other Than Full & Open Competition (Include sole-source 8(a), FAA.)

## 39. Authority — Other than Full &amp; Open Competition (Complete Only If No. 38 = L)

- ☐ A - Unique Source  
☐ B - Follow-on Contract  
☐ C - Unsolicited Research Proposal  
☐ D - Patent/Data Rights  
☐ E - Utilities  
☐ F - Standardization  
☐ G - Only One Source - Other than A-F above  
☐ H - Urgency

- ☐ J - Mobilization  
☐ K - Essential R&D Capability  
☐ L - International Agreement  
☐ M - Authorized by Statute (Inc. sole-source 8(a), FAA.)  
☐ N - Authorized Resale  
☐ P - National Security  
☐ Q - Public Interest

## 40. A.I.D. Special Authorities — Other than Full &amp; Open Competition (Complete only if No.39 = M)

- ☐ A - Impairment of Foreign Aid Programs: All Personal Services Contracts (PSCs). (See AIDAR 706.302-70)  
☐ B - Impairment of Foreign Aid Programs: Award of \$100,000 or less by an overseas contracting activity. (See AIDAR 706.302-70)  
☐ C - Impairment of Foreign Aid Programs: Written Determination by Assistant Administrator or Administrator. (see AIDAR 706.302-70)  
☐ D - Impairment of Foreign Aid Programs: Title XII Selection Procedure-General. (See AIDAR 706.302-70)

- ☐ E - Impairment of Foreign Aid Programs: Title XII Selection Procedure-Collaborative Assistance. (See AIDAR 706.302-70)  
☐ F - Disaster Relief (See Handbook 8, Chapter 2)  
☐ G - None of the Above Special Authorities

## 41. Synopsis of Procurement

- ☐ A - Synopsized prior to award  
☐ B - Not synopsized due to urgency (use only if No. 39 = H)  
☐ C - Not synopsized for other reason

## 42. No. of Offers

## 43. No. of Offers of Non-U.S. Item(s)

## MODIFICATIONS ONLY

## 44. Modification purpose (Mark all that apply)

- ☐ Incremental Funding  
☐ Administrative Change  
☐ Extension of Term  
☐ New/Revised Scope of Work  
☐ Increase in TEC  
☐ Decrease in TEC  
☐ Overhead Rate Adjustment

- ☐ Exercise of Option  
☐ Transfer Administrative Responsibility  
☐ Novation Agreement  
☐ Termination for Convenience  
☐ Termination for Default  
☐ Other: \_\_\_\_\_



### SECTION 3: VENDOR INFORMATION (All Actions)

45. Prime Vendor: (Full Name and Address)

46. DUNS or CEC Number

47. Taxpayer ID No. (TIN)

#### BASIC AWARDS AND NOVATIONS ONLY

48. Economic Sector

☐  
☐

A - Private

B - International Public Sector

☐  
☐

C - Federal Government

D - State/Local Government

49. Business Organization Type

☐  
☐  
☐  
☐  
☐  
☐  
☐

A - Corporation

B - Individual

C - University or College

D - Historically Black College or University

E - Educational Organization other than University or College

F - International Center

G - Research Organization other than International Center

☐  
☐  
☐  
☐  
☐  
☐  
☐

H - Voluntary Organization

I - Foundation

J - Hospital

K - Partnership

L - Proprietorship

M - Sheltered Workshop

Z - Other

50. For Profit?

☐ Yes

☐ No

51. U.S. Nationality? (If U.S. Nationality, then answer Items 52-53)

☐ Yes

☐ No

52. Ownership Type: (Complete only if Item No. 51 = Yes)

☐  
☐  
☐  
☐

A - Asian-Indian American

B - Asian-Pacific American

C - Black American

D - Hispanic American

☐  
☐  
☐

E - Native American

F - Other Minority

Z - Non-Minority

53. Woman/Woman-Owned?  
(Complete only if Item  
No. 51 = Yes)

☐ Yes

☐ No

54. If the Vendor is an individual who is not a U.S. Citizen, is he/she a Green Card holder?

☐ Yes

☐ No

55. If U.S. University, Host Country Counterpart Institution:

56a. Common Parent Organization (tax purposes)

☐ Yes

☐ No

56c. DUNS or CEC Number

56b. Parent Vendor (Full Name)

56d. Taxpayer ID No. (TIN)

57a. Joint Venture?

☐ Yes

☐ No

57b. Participant Vendor (Full Name)

57c. DUNS or CEC No.

57d. Taxpayer ID No. (TIN)





NATIONAL AGRICULTURAL LIBRARY



1022548639

\* NATIONAL AGRICULTURAL LIBRARY



1022548639